Session 1: Keynote Address by Oklahoma State Auditor and Inspector Steve Burrage “Right Doesn’t Mean Easy”

Time: Monday, May 17, 8:00 - 9:00 am

Speaker: Steve Burrage was appointed Oklahoma State Auditor and Inspector by Governor Henry on July 10, 2008. He has more than 30 years of experience in banking and accounting. He has led FirstBank of Antlers since 1982, serving as President, Chief Executive Officer and now it’s Chairman of the Board. In addition, he is a certified public accountant and was a partner in Burgess and Burrage Certified Public Accountants from 1977 to 1982. Auditor Burrage has many years of leadership experience in banking and accounting. Currently serving on numerous civic and financial boards, he is a former board member of the Oklahoma City branch of the Federal Reserve and is the past Chairman of the Board of the Oklahoma Bankers Association. Most recently, Auditor Burrage was asked to help oversee the stimulus process in which he will monitor the expenditure of federal funds Oklahoma receives over the next few years. He holds a bachelor’s degree in accounting from the University of Oklahoma. A member of the Choctaw Nation of Oklahoma; Steve and his wife Roberta have three children: Mike, Mark and Bradley. The State Auditor serves on numerous Boards and Commissions, these include: The Archives and Records Commission, The Board of Directors for the College Savings Plan, The Board of Managers for CompSource, The Board of Investors for the Tobacco Settlement Fund, The Commission for Local Government Technology, The Oklahoma State Pension Commission, The Small Business Linked Deposit Board, The State Board of Equalization, Commission, The. As of March 2009, Auditor Burrage will take part in the oversight of state stimulus spending of federal dollars.

Session 2: “PCI Compliance – A QSA Perspective”

Time: Monday, May 17, 9:00 - 10:00 am

Description: Payment Card Industry (PCI) is one of the more challenging mandates to comply with, not just because of its comprehensive nature, but because organizations can become overwhelmed by its size and scope. In this presentation Protiviti will provide perspective on key challenges to initiating a PCI Compliance Program, as well as discuss how to organize a Program into manageable projects and how each of the Program’s components fit together to ultimately achieve compliance.

Speakers: Brad McGary is a Senior Manager with Protiviti Risk Consulting and a member of their National Technology Risk and Security practices. He has 15 years of combined industry and consulting experience specializing in information security and privacy. Brad is currently responsible for leading Payment Card Industry (PCI) compliance and remediation efforts for Protiviti’s clients in the U.S. and internationally. Brad is a CISSP, CISM, CISA and QSA. Dan Reyero is a Managing Director with Protiviti Risk Consulting and a leader in their National Technology Effectiveness Solutions with key focus on Security Services. He has 25 years of experience improving the effectiveness in IT organizations both as a CIO within industry and as a consulting partner. He has demonstrated his ability to assist companies with aligning IT to Business strategy, led clients through the “maze” of IT outsourcing, implemented controls to effectively secure client’s environment while containing costs, guided ERP selections and project implementation risk efforts and helped clients understand and react to evolving compliance and regulatory requirements. Dan holds a Bachelor’s degree in Business from Amber University, Executive Business Management Training from Harvard and a Certified Six Sigma Green Belt. Jacob Iley is a Senior Manager with Protiviti Risk Consulting and a member of their National Technology Risk and Security practices. He has 11 years of combined industry and consulting experience specializing in information security and privacy. Jacob is currently responsible for leading Payment Card Industry (PCI) compliance and remediation efforts for Protiviti’s clients in the U.S. and internationally. Jacob is a CISSP and QSA.

Session 3: “Time and Effort Reporting: Practical Applications in Response to Internal Audit Recommendations”

Time: Monday, May 17, 10:20 - 11:10 am

Description: This session will provide an overview of the history of time and effort reporting, a detailed look at the effort reporting process of the two sponsored programs offices on the University of Oklahoma-Norman campus, and suggested implementation solutions in response to a recent time and effort audit conducted by the University’s Internal Audit department.

Speakers: Andrea Deaton is the Associate Vice President for Research and Executive Director of the Office of Research Services at the University of Oklahoma – Norman Campus where she is responsible for ensuring the continuity of daily operations of all pre- and post-award functions related to the administration of sponsored research and creative activities for the Norman campus and related programs on the Tulsa campus. Andrea received her Masters degree in Human Relations from the University of Oklahoma and is a Certified Research Administrator. She is an active member of the Society of Research Administrators International, serving on
Anita Mann, Director of the College of Continuing Education Office of Sponsored Programs. In 1980, she accepted a Student Auditor position in OU’s Internal Auditing office and later that year was offered a full-time Auditor position. She completed her Bachelor of Accountancy degree in 1982 and continued serving in various positions in Internal Auditing, including Senior Staff Auditor and Manager of Audit Operations, until 1996. In 1996 she had the opportunity to move to the College of Continuing Education—and serve as the Assistant to the Vice Provost. After some reorganization University Outreach became responsible for two colleges—Continuing Education and Liberal Studies—and her position changed to Executive Assistant to the Vice President for University Outreach. It was also during this period of time that University Outreach experienced tremendous sponsored programs growth and OU split the previous post-award sponsored programs compliance unit into two functional areas—research and outreach. Anita took responsibility of Outreach Sponsored Programs in 2005 after the retirement of two 30-year sponsored programs administration tenured employees. The office serves and monitors $55M—$80M in training, instruction, and technical assistance sponsored programs.

Session 4: Preparing for an FBI Investigation
Time: Monday, May 17, 11:10 - 12:00 pm
Speaker: Special Agent Matt Harper has been with the FBI for more than 12 years. His primary responsibilities include the investigation of cyber crimes such as computer intrusions, online crimes against children, intellectual property crimes and Internet fraud. Matt is a member of the FBI’s national cyber incident response team and the founder of the Oklahoma Chapter of InfraGard. He received a B.A. and MPA from the University of Missouri. Prior to the FBI, Matt was the Assistant City Manager in Fulton, Missouri where his responsibilities included the administration of day-to-day business operations of the municipal government. Matt is a CISSP, police instructor, member of ISSA and holds certifications in Information Security, Intrusion Analysis, Incident Handling and Computer Forensics from the SANS Institute.

Session 5: Panel Discussion: Managing a Special Investigation
Time: Monday, May 17, 1:00 - 2:40 pm
Description: The panel will provide insight on their responsibilities during a special investigation and the cooperation necessary between the units to complete the effort.
Panel:
- Captain C. T. Ligon, Investigations & Communications Commander
- Lt. George Schmerer, Detective
- Randy Moore, Director OUHSC IT Information Security Services and OU HIPAA Security Officer
- Peggy Clay, Associate General Counsel
- Sean Ensz, Director OU IT Forensics
- Cynthia Clegg, Associate Director Human Resources
- Matt Harper, FBI Special Agent

Session 6a: “NCAA Major Infraction Case Studies”
Time: Monday, May 17, 3:00 - 4:00 pm
Description: Case studies on current major infractions and controls to avoid the same mistakes
Speaker: Jason D. Leonard, Executive Director of Athletics Compliance
Jason Leonard became the executive director of athletics compliance in December, 2006. In his current role, Leonard is responsible for the oversight of all daily athletics compliance operations and rules education efforts, and is lead investigator on all rules violations investigations and reporting. Leonard is currently a member of the National Association of Athletics Compliance Coordinators. Leonard previously worked as associate general counsel in the University of Oklahoma’s Legal Department. In that role, he was responsible for handling the litigation for the University of Oklahoma, University of Oklahoma Health Sciences Center, Tulsa Health Sciences Center, Rogers State University and Cameron University. Leonard’s ties with OU reach back to his undergraduate days. A native of Perry, Okla., Leonard graduated from the University of Oklahoma with a bachelor of business administration in 1994 with a major in business marketing and a minor in business law. Leonard was a three-time Academic All-American as a member of the Sooner wrestling team. He earned Academic All-Big Eight honors four times and was the top scholar athlete in 1993-1994 for the Sooner wrestling team. He earned NCAA All-America honors in 1994. He earned his juris doctorate degree from OU in 1997. While in law school, he attended the 1995 summer law program at Oxford University – Queens College, Oxford, England. Prior to joining the University of Oklahoma staff in 2006, Leonard was a partner with his wife, Jana, at Leonard & Associates law firm in Oklahoma City. Their law practice focuses on employment law. He is admitted to practice in the Tenth Circuit Court of Appeals, Western District of Oklahoma, Northern District of Oklahoma, Eastern District of Oklahoma, and the Supreme Court of Oklahoma. He is a member of the Oklahoma County Bar Association and the Federal Bar Association.
Session 6b: Health Care Informatics
Time: Monday, May 17, 3:00 - 4:00 pm
Speaker: David Kendrick, MD, MPH, Associate Professor

Time: Monday, May 17, 4:00 - 5:00 pm
Description: The presentation will share with participants tips and tricks for reviewing user access and privileges within the Banner information systems environment. Through this session, we will present audit techniques, such as using IDEA, to summarize a users’ access. We will also provide tips on what to look for when assessing the adequacy of segregation of duties.
Speakers: Emily A. Knopp is the Audit Director at Angelo State University, a Member of the Texas Tech University System, and has 8-plus years of audit and corporate accounting experience. Ms. Knopp is a CPA in the State of Texas and has been awarded the CISA designation. Ms. Knopp has assisted Audit Services in developing the IT audit function and participated on the Project Steering Committee that oversaw the Banner implementation at Texas Tech. Ms. Knopp received_a BBA in Accounting and Finance and a Master of Science in Accountancy from Texas Tech University.
Amanda Clark is an Audit Manager at Texas Tech University System, and has 4 years of audit experience. Ms. Clark is a CIA and is working towards the CISA designation. She is very active in Audit Services’ efforts to educate the campus community on internal controls. Ms. Clark also serves on the Work Group committee at Texas Tech, which is tasked with overseeing Banner upgrade activities. Ms Clark received a BBA in Finance and Economics and a MBA from Texas Tech University.

Tuesday, May 18

Session 8: Keynote Address by David Ross Boyd Professor Emeritus by Dr. Tom W. Boyd “Money and Morals”
Time: Tuesday, May 18, 8:00 - 9:00 am
Speaker: Dr. Tom W. Boyd is the David Ross Boyd Professor Emeritus of Philosophy at the University of Oklahoma. After teaching philosophy of religion and ethics at OU for 28 years, he retired from full time teaching in 1997, but re-enlisted for service in the new Religious Studies Program in 2002. Dr. Boyd now teaches at OU as part of the Retired Professor program and serves as a consultant to the Program. He holds four earned degrees, including a PhD in Religion form Vanderbilt University in 1973. His academic specialization is in philosophy of religion, and ethics. His current concentration is on the relation among religion and culture. He seeks through his studies and teaching to understand and appreciate religion in its contemporary setting and in light of an emerging planetary culture. Dr. Boyd is actively involved in a current discussion of a Global Ethic. Dr. Boyd also continues to conduct seminars, workshops, and retreats. He is in demand on campus and off as speakers to students, faculty and staff, and the region at large.

Session 9: Technology Transfer
Time: Tuesday, May 18, 9:00 - 10:00 am
Speakers: Daniel Pullin, University of Oklahoma Vice President for Strategic Planning and Technology Development directs the commercialization operations of the Office of Technology Development and manages Strategic Planning efforts for the University including the administration of OU’s Center for the Creation of Economic Wealth (CCEW). Additionally, Pullin serves as an instructor in OU’s Price College of Business, where he received the OU Foundation Excellence in Teaching Award in 2007, 2008, and 2009. Pullin holds undergraduate degrees from OU in finance and accountancy and an MBA from Harvard Business School. Prior to joining OU in 2006, Pullin served as Vice President of Strategy and Business Development for Home Interiors & Gifts following his tenure at McKinsey & Company, a global consulting firm, and Hicks Muse Tate & Furst, a leading private equity fund. He serves on the Board of Directors for the Oklahoma Center for the Advancement of Science and Technology, as well as Southwest Nanotechnologies. Pullin is also on the Board of Advisors for OU’s K20 Center and the OU JCPenney Leadership Center.
Kelvin K. Droegemeier earned a B.S. with Special Distinction in Meteorology in 1980 from the University of Oklahoma, and M.S. and Ph.D. degrees in atmospheric science in 1982 and 1985, respectively, from the University of Illinois at Urbana-Champaign. He joined the University of Oklahoma in 1985 and in 1989 co-founded the NSF Science and Technology Center (STC) for Analysis and Prediction of Storms (CAPS), which pioneered the science of storm-scale weather assimilation and prediction. In 2003, he co-founded the NSF Engineering Research Center for Collaborative Adaptive Sensing of the Atmosphere (CASA) and in 2004 was appointed by President George W. Bush to a 6-year term on the National Science Board, the governing body of the National Science Foundation that also provides science policy guidance to the Congress and President. In 1999, Dr. Droegemeier founded Weather Decision Technologies, Inc., located in Norman that is commercializing advanced weather technology developed by the University of Oklahoma and other organizations. Dr. Droegemeier's research interests lie in thunderstorm dynamics and predictability, variational data assimilation, mesoscale dynamics, computational fluid dynamics, massively parallel computing, and aviation weather. He served as Chair of the UCAR Board of Trustees in from 2004-2008 and in his 23 years at the University of Oklahoma has generated over $40 million in external research funding. He is a Fellow of the American Meteorological Society.

Presentations 3/5
Session 10a: Directors’ Round Table
Time: Tuesday, May 18, 10:20 - 12:00 pm
Description: Director’s discussions on topics of choice
Moderator: Clive Mander, Director of Internal Audit, University of Oklahoma

Session 10b: Auditors’ Show and Tell
Time: Tuesday, May 18, 10:20 - 12:00 pm
Description: Big 12 auditor’s show and tell and open discussion
Moderator: Catherine Courtois, Audit Manager, University of Oklahoma

Session 11: “Emerging Technology Trends: A View from a Campus Datacenter”
Time: Tuesday, May 18, 1:00 - 2:15 pm
Description: This informal, interactive presentation will survey emerging technology trends showing up on campus and opening new worlds of opportunity for our students, faculty, researchers and staff. More than ever we need the highest levels of cooperation between our users, our offices of audit and compliance, and Information Technology in order to balance innovation, productivity and collaboration with appropriate security controls to protect our institutions.
Speakers: David Horton is the Director of IT Infrastructure Services at the OU Health Sciences Center in Oklahoma City where he oversees the teams responsible for the campus network, voice system, enterprise servers, storage and data centers. He is driving a significant transformation agenda within Infrastructure Services including organizational restructuring, creation of a service management culture, widespread upgrades of campus IT infrastructure, internal process improvement, and staff professional development. David assumed this role in 2004 after three years at OUHSC as a Technical Architect. He has twenty years of prior technology and computing experience including software development, retail, and consulting and holds a number of technical certifications. David attended Oklahoma City University.

Session 12: National Weather Center
Time: Tuesday, May 18, 2:35 - 5:00 pm
Description: National Weather Center presentation on natural disasters, business continuity and a tour of the National Weather Center.
Speakers: Dr. Kevin Kloesel, Assistant Dean for the College of Atmospheric and Geographic Sciences. In 1984, Kevin earned a Bachelor of Engineering Science Degree at the University of Texas – Austin. He then went to Penn State University for a Master’s (1987) and PhD (1990) in Meteorology. Kevin began his career in meteorology at Florida State University where he was hired as an Associate Professor. He spent 8 ½ years as a faculty member with teaching and research interests ranging from boundary layer meteorology to synoptic and tropical meteorology. After receiving tenure, Kevin became Director of the Florida Climate Center. He also served as a Research Fellow with the Cooperative Institute for Tropical Meteorology, and co-directed an outreach project (EXPLORES!) which provides satellite data ingest capabilities to over 200 schools throughout the state of Florida. Kevin also ‘moonlights’ as an elementary school teacher, teaching an integrated curriculum using weather as the focal point. Kevin traded hurricanes for tornadoes in January of 1999 when he arrived at OU to become Distance Education Director and NOAA Liaison with OCS. He is also involved in developing distance learning courses, and training emergency manager (OK-FIRST) to use weather data effectively. Kevin will be working with NOAA employees in Oklahoma on collaborative research and teaching projects, and is looking forward to being an active participant in developing activities for the EarthStorm Project.

Larry Arthur, Audit Manager, University of Oklahoma, will present on business continuity management and the key elements that should be included in an effective Business Continuity Plan. Larry has an MS in Mathematics from Pittsburg State University and an MBA from Oklahoma State University. Larry career includes over 30 years of Information Systems experience in the Oil and Gas industry, Healthcare, and Higher Education. Larry also holds an adjunct position in the University of Oklahoma’s Price College of Business where he teaches classes in Management Information Systems.

Wednesday, May 19

Session 13: Governance by Grant Thornton
Time: Wednesday, May 19, 8:00 – 9:00 am
Description: by Grant Thornton
Speaker: Frank L. Kurre, National Managing Partner, Not-for-Profit Industry Practice
Frank serves as National Managing Partner of the firm’s Not-for-Profit Industry Practice. In this capacity, Frank is responsible for all services performed by the firm for not-for-profit clients nationwide. He became Partner-in-Charge of the Northeast Not-For-Profit Practice of Grant Thornton LLP in May 2002, and National Managing Partner of the Not-for-Profit Industry Practice in April 2004. Frank is also a member of our firm’s National Leadership team, and serves as a member of the Board of Directors of the Grant Thornton LLP Political Action Committee. Prior to joining Grant Thornton LLP, Frank served as Partner-in-Charge of the Northeast
Session 14: Guest Speaker – “Basic IT” by Richard Tarr
Time: Wednesday, May 19, 9:00 – 10:20 am
Description: This presentation outlines the basic concepts of information technology you need to know in order to understand the audit concerns in the IT environment. You will discuss what controls are necessary for managing IT risks – what to be concerned about when auditing currently installed systems, new systems under development, and the network and IT general control activities within the information technology department. This session will provide a foundation in the basics of information technology risks and controls as they apply to audit and security concerns. The topics to be covered in this session include:
1. The basics components of the IT environment
2. Understanding the IT Control Structure
3. General Control concerns
4. Business process Application controls
5. Network basic risks and controls
Speaker: Richard Tarr, MBA, CIA, CISA. Richard Tarr is an internal audit and information technology (IT) consultant who specializes in the evaluation, development, and training of internal auditing professionals, and in conducting quality assessment reviews of internal auditing functions. Mr. Tarr has conducted over 100 quality assessment reviews and has provided training and consulting services to numerous Fortune 500 companies, government agencies, and universities. Previously with the Walt Disney Company, he initiated and developed the company’s IT audit function, and was the Corporate Information Systems Audit Manager. He was the Manager of Quality Assurance Review for the Institute of Internal Auditors (IIA) and is the author of the IIA’s publication, Establishing an Internal Audit Activity. He was a senior systems engineer with Electronic Data Systems (EDS), where he designed and implemented applications for financial industry clients. He holds an MBA and a BS degree from Florida State University, is a Certified Internal Auditor (CIA), and a Certified Information Systems Auditor (CISA).

Session 15: Guest Speaker – “Continuous Auditing” by Richard Tarr
Time: Wednesday, May 19, 10:40 – 12:00 am
Description: In the past, internal auditing shops tested controls on a retrospective and cyclical basis, often many months after key business activities had occurred. The testing procedures were often based on a judgmental sampling approach that was driven by additional audit procedures such as reviews of policies, procedures, approvals, and reconciliations. Today, however, it is recognized that this approach only affords internal auditors a narrow snapshot evaluation, is often too late to be of real value to long term business performance or regulatory compliance, and is a costly use of scarce audit resources. While the concept of Continuous Auditing has been around for decades, it is currently gaining new momentum as a method that can be used to perform control and risk assessments automatically on a more frequent basis and at considerably less cost than traditional approaches. In this session we will discuss:
1. What are the benefits of Continuous Auditing
2. How might Continuous Auditing be implemented
3. What should the objective be for Continuous Auditing projects
4. Approaches to Continuous Control and Risk Assessment audits
5. What are the Challenges and Other Considerations
Speaker: Richard Tarr, MBA, CIA, CISA (see bio in Session 14)