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IN KUMMER EXTENSIONS

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SCHOOL OF COMPUTER SCIENCE

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# Abstract

The discrete logarithm problem has been studied during the past decades for its important application in cryptography and other fields. It is very useful in the public key cryptography, which is widely used for Internet safety. Using current computers to solve general discrete logarithm problem seems still not possible within reasonable time, since no polynomial time algorithms has been found for general cases. However, over finite fields of small characteristic, the factor base discrete logarithm can be solved much faster with heuristic polynomial time algorithms.

This thesis is mainly based on the previous study of factor base discrete logarithm in Kummer extension ( $\mathbb{F}_{q^{2(q-1)}}$ ) which is published recently by Xiao-Zhuang-Cheng [14] and we focused on further calculation in this study. The previous research, based on the hypothesis of the determinant of lattices and the discrete logarithm, was confirmed with calculation for all  $q$ 's such that  $\log_2(q^{2(q-1)}) \leq 5000$ , and in this thesis we pushed the limit to  $\log_2(q^{2(q-1)}) \leq 10000$ . During the calculation, we tried different strategies to improve the efficiency, by transferring the matrices and splitting  $q$ 's into several groups. We achieved 1000% speed-up for most  $q$ 's in the range and discovered some possible structures to group  $q$ 's in calculation.

In the thesis, we'll go through the basic backgrounds of the study, and then in-

troduce the main methods and experiments done in the study. We'll discuss the grouping of  $q$ 's and the efficiency improvement. In the end we'll summarize the progress and the possible future work of this study.

**Keywords:** Cryptography, Discrete logarithm, Kummer extension, Lattice

# Chapter 1

## Introduction

### 1.1 Backgrounds

In the past several decades, public key cryptography was heavily based on discrete logarithm study [5], and we believe that it still takes longer than reasonable time to solve the general cases using current computing method and resources [13]. Although new methods were invented and improved as time went on, this assumption holds unless quantum computers are developed with productivity. However, some recent study showed that if the characteristic of the field is small, the calculation can be accelerated significantly [6, 7, 8, 9, 10, 11, 12]. With the help of index calculus, function field sieve and number field sieve [1, 2], we collect linear relations for the discrete logarithm, and then solve the discrete logarithm with the relations. The smoothness of the polynomial affects the efficiency if exhaustive search is used, but guided searching algorithm can accelerate the process with loss of correctness to assumptions of smoothness [3, 4].

In the public key cryptography, an important part is the Diffie-Hellman key

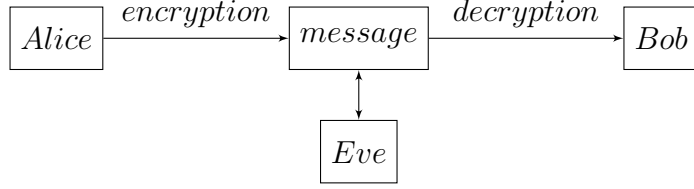


Figure 1.1: Cryptography in communication

exchange based on discrete logarithm. As is shown in Figure 1, if Alice and Bob wants to share the key in a safe channel, they can use this algorithm to get same secret while Eve can't solve it.

Assume Alice and Bob agree to use  $g$  and  $p$  as the base and modulus. At first Alice hold the secret  $a$  and Bob hold the secret  $b$ . Alice then transfers  $A = g^a \bmod p$  to Bob and Bob transfers  $B = g^b \bmod p$  to Alice. Now Alice can get the secret  $s = B^a \bmod p$  and Bob can get the same secret  $s = A^b \bmod p$ . Eve can see the  $g$ ,  $p$ ,  $A$  and  $B$  but can't get the secret  $s$ . Here we can see the algorithm relies on the difficulty of discrete logarithm with the current computing resources.

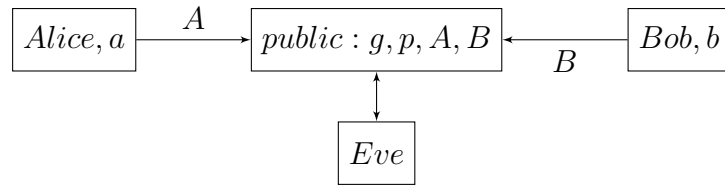


Figure 1.2: Diffie-Hellman public key exchange

In the previous research, matrices related to discrete logarithm in Kummer extension were studied in order to solve the factor base discrete logarithm problems [14]. The research went through the new algorithm from building the matrices related to the index calculus to solving and verifying the determinant of resulting matrices. The detailed steps will not be discussed in this study but main processes and definitions will be introduced in the later chapters.

## 1.2 Motivation

The previous research provided theoretical evidence to support the algorithm, as well as verified the results for all  $q$ 's such that  $\log_2(q^{2(q-1)}) \leq 5000$ . However, it takes quite a long time to reach that limit with the current computers. Since the transformation of matrices related to the lattice is not suitable for parallel computing, it's important to speed up the process by shortening the time of each step. There are several ways of improving the calculation speed and the most efficient one we found was to reduce the size of elements in the matrices during the beginning period. However, it was not always working on current computers due to some possible special structures in the matrices. So we tried to reduce the matrix size by partitioning field at the beginning, and this worked for the outliers from the first case. We also try to minimize the space usage if it's possible although the new algorithm sacrifices space for time in most cases.

## 1.3 Progress

In this study, the main improvement from the previous work is to push the limit of the  $q$ 's to  $\log_2(q^{2(q-1)}) \leq 10000$  with several attempts in different aspects. The direct speed up from the new calculation of Hermite Normal Form accelerated the calculation by more than 1000% for eligible  $q$ 's. For the outliers, the new calculation could not be handled by our computers, but by dividing those  $q$ 's into cases and transforming the calculation to smaller matrices, we also got more than 1000% speed-up. As for the space usage, most cases were affordable in both cases, although the new algorithm has a weaker relation of space usage and  $q$ 's. The calculation was done on a computer with 3.6GHz CPU and 32G memory.

We used SAGE under LINUX system for the study.

# Chapter 2

## Methods

### 2.1 Definitions

#### 2.1.1 Finite Fields

We'll start the definitions from the group theory.

**Definition 2.1.** Group

The group is a structure of set of elements ( $G$ ) and operations( $\bullet$ ). To form a group, the operation need to be used on the elements and generate an element with the following properties:

Closure:  $\forall a, b \in G, a \bullet b \in G$

Associativity:  $\forall a, b, c \in G, (a \bullet b) \bullet c = a \bullet (b \bullet c)$

Identity:  $\exists e, \forall a \in G, a \bullet e = e \bullet a = a$

Invertibility:  $\exists b, \forall a \in G, a \bullet b = b \bullet a = e$

From the definitions above, we denote the  $e$  as the identity element and  $b = a^{-1}$  as the inverse of  $a$ . If the invertibility doesn't hold, we call that a monoid. If further the identity doesn't hold, we call that a semigroup.



**Definition 2.2.** Abelian Group

The abelian group is a group with the following property:

$$\forall a, b \in G, a \bullet b = b \bullet a$$

With these definitions, we can see that integers ( $\mathbb{Z}$ ) with addition (+) forms an abelian group ( $\mathbb{Z}, +$ ). If we remove 0 from  $\mathbb{Q}$  (denoted as  $\mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$ ), it also forms an abelian group with multiplication ( $\mathbb{Q}^*, *$ ), otherwise it's a monoid.

**Definition 2.3.** Ring

The ring is a set( $R$ ) with two binary operations ( $\bullet, \circ$ ) satisfying the following properties:

( $R, \bullet$ ) is an abelian group.

( $R, \circ$ ) is a semigroup.

Distributive law holds:  $a \circ (b \bullet c) = (a \bullet b) \circ (a \bullet c)$

For integers it's easy to see that with + and \* we can form an integer ring ( $\mathbb{Z}, +, *$ ). For example, ( $\mathbb{Z}/m\mathbb{Z}, +, *$ ) is an integer ring where ( $\mathbb{Z}/m\mathbb{Z}$ ) =  $\{0, 1, 2, \dots, m-1\}$ . We can easily verify the properties needed above.

**Definition 2.4.** Zero divisor

A zero divisor in the ring ( $\mathbb{R}$ ) is, a non-zero element  $a \in \mathbb{R}, \exists b \neq 0$  such that  $b * a = 0$ .

For example, in ( $\mathbb{Z}/6\mathbb{Z}, +, *$ ), 2 is a zero divisor since  $2 * 3 = 0$  in ( $\mathbb{Z}/6\mathbb{Z}, +, *$ ). Also, 3 and 4 are zero divisors in this ring but 5 is not because it has inverse of 5. Zero divisor doesn't have multiplicative inverse.

**Definition 2.5.** Field

A field  $\mathbb{F}$  in number theory is usually defined as a ring in which each nonzero element has its multiplicative inverse.

For example,  $(\mathbb{Q}, +, *)$  is a field since every element except 0 has its inverse. For prime number  $p$ ,  $(\mathbb{Z}/p\mathbb{Z}, +, *)$  is a field. Let  $p = 3$ ,  $(\mathbb{Z}/3\mathbb{Z}, +, *) = \{0, 1, 2\}$ . We can easily see that 1 has its inverse 1 and 2 has its inverse 2. For non-prime number  $m$ ,  $(\mathbb{Z}/m\mathbb{Z}, +, *)$  is not a field. Let  $m = 4$ ,  $(\mathbb{Z}/4\mathbb{Z}, +, *) = \{0, 1, 2, 3\}$ . We can see that 2 doesn't have its inverse.

**Definition 2.6.** Characteristic of field

The characteristic of a field  $\mathbb{F}$ ,  $Ch(\mathbb{F})$  is defined as 0 or the smallest positive integer  $n$  such that  $n * 1_{\mathbb{F}} = 0$  if it exists.

**Definition 2.7.** Finite field

A finite field is a field with finite number of elements.

Denote  $\mathbb{F}_q$  be a finite field with  $q$  elements, where  $q$  is a prime number or a prime power.

With these basic field definitions, now we can describe the discrete logarithm problem.

## 2.1.2 Discrete logarithm

Discrete logarithm has been used in public key cryptography for a long time, and the definition can be described as follow:

**Definition 2.8.** Discrete logarithm over finite field.

For  $\mathbb{F}_q$ , if  $\alpha^x = \beta$  was given for  $\alpha, \beta \in \mathbb{F}_q^*$ , the discrete logarithm problem is to find the integer  $x$ .

Here's an example of a simple discrete logarithm problem:

x	1	2	3	4	5	6
$\beta$	3	2	6	4	5	1

Table 2.1: A simple discrete logarithm in  $\mathbb{F}_7$  when  $\alpha = 3$

Here with the table we can find  $x$  for given  $\beta$ , but when the field is much larger, it'll take very long time since no polynomial algorithm has been found.

As is mentioned above, the general algorithms solving discrete logarithm problem are sub-exponential time complexity, such as number field sieve and function field sieve.

However, special cases with small characteristic field can be solved much faster. In these cases, the Kummer extension is very useful in testing the algorithms due to its structure. So we focus on these cases in the study.

### 2.1.3 Kummer Extensions

When we try to solve the discrete logarithm problem, the Kummer extension could be a starting point although it's considered not safe enough to be used in real world cryptography. The Kummer extension can be described as follow:

**Definition 2.9.** Kummer extension:

In general, a field extension  $L/K$  is called a Kummer extension if for some given integer  $n > 1$ :

$K$  contains  $n$  distinct  $n$ -th roots of unity.

$L/K$  has abelian Galois group of exponent  $n$ .

For example, the Kummer extension  $\mathbb{F}_{q^2(q-1)}$  could be very interesting since the recent breakthrough suggests fast algorithms in this field and the right hand of the relations would be automatically linear. In this study,  $\mathbb{F}_{q^2}[x]/(x^{q-1} - A)$  is used to model the Kummer extension where  $A \in \mathbb{F}_{q^2}$  and  $x^{q-1} - A$  is irreducible

over  $\mathbb{F}_{q^2}$ .

### 2.1.4 Lattice

Lattice study is growing popular because of its resistance to quantum computers. In this study, we built the  $(q + 1)$ -dimensional lattice for the conjecture and calculated the determinant related to the matrices.

**Definition 2.10.** Lattice:

A lattice in  $\mathbb{R}^m$  is a subgroup of  $\mathbb{R}^m$  and it's isomorphic to  $\mathbb{Z}^m$ .

In this study, it's defined as

$$\mathcal{L} = \left\{ \sum_{i=1}^n x_i \mathbf{b}_i \mid x_i \in \mathbb{Z} \right\},$$

where  $n \leq m$  and  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  are linearly independent and  $\mathbf{b}_i \in \mathbb{R}^n$  for  $1 \leq i \leq n$ .

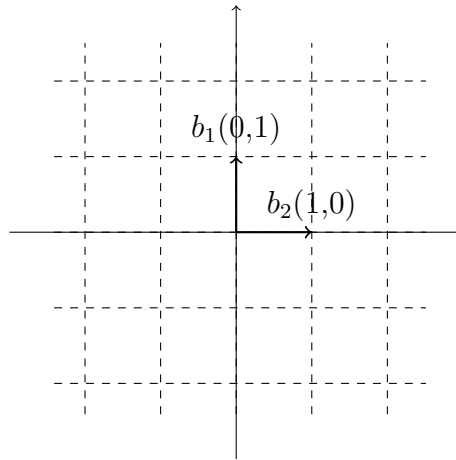


Figure 2.1: Lattice generated by  $b_1(0, 1)$  and  $b_2(1, 0)$

If  $m = n$  in the lattice defined above, the set of vectors  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  is called

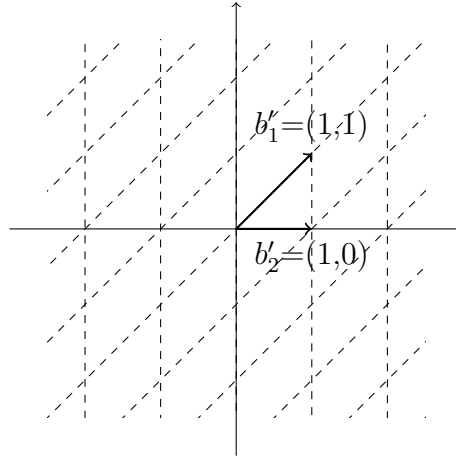


Figure 2.2: Lattice generated by  $b'_1(1,1)$  and  $b'_2(1,0)$

a lattice basis and it can be represented as

$$B = [\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n],$$

**Definition 2.11.** Determinant of a lattice:

For a lattice  $\mathcal{L} = \mathcal{L}(B)$ , the determinant of the lattice ( $\det(\mathcal{L})$ ), is the  $n$ -dimensional volume of the fundamental parallelepiped  $P(B)$ .

Now we can go on to the matrices used in the study and explain the transformation chosen on the matrices representing the lattice.

### 2.1.5 Normal forms

In linear algebra, normal forms are used for matrix transformation in order to generalize and clarify the information of the matrix. Here are the two normal forms used in the study and some transforming methods.

Here we only use row transformation in the study, so the normal forms are transformed by rows unless otherwise specified.

**Definition 2.12.** Gaussian elimination:

The Gaussian elimination is an operation to change the left lower corner of the matrix into zeros by swapping rows, multiplying rows by non-zero numbers, or adding rows or multiplied rows to another.

**Definition 2.13.** (Row) Echelon form:

The resulting matrix from the Gaussian elimination is an echelon form.

**Definition 2.14.** GaussJordan elimination:

If we add the following restriction to Gaussian elimination, it's called Gauss-Jordan elimination: the resulting matrix has leading coefficient of 1 for all rows, and they are the only non-zero numbers in their columns.

**Definition 2.15.** Reduced (row) echelon form:

The resulting matrix of GaussJordan elimination is called the reduced echelon form. Each matrix only has one unique reduced echelon form.

Note that in this study, row echelon form is used in all calculation if not specified in the following.

**Definition 2.16.** Hermite normal form:

The Hermite normal form is basically similar with reduced echelon form but it's over integers  $\mathbb{Z}$ . All transformations should be done over  $\mathbb{Z}$ . Note that only swapping or adding rows, or adding rows with nonzero integer multiplication are allowed in the transformation since solely multiplying rows with integers may change the determinant.

**Definition 2.17.** Smith normal form:

The Smith normal form is defined for matrices with entries in a principal ideal

domain (such as  $\mathbb{Z}$ ) and calculated by multiplying the left and right invertible square matrices.

Here's an example of the transformation between normal forms (row transformation).

Say we have matrix  $A$ :

$$A = \begin{pmatrix} 1 & 2 & 3 & 9 \\ 2 & 5 & 6 & 7 \\ 3 & 4 & 5 & 6 \\ 4 & 7 & 8 & 9 \end{pmatrix}$$

Here when we transform the matrix to reduced row echelon form ( $E$ ). It's calculated in  $\mathbb{Q}$ .

$$E = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Here we transform the matrix to Hermite normal form ( $H$ ). It's calculated in  $\mathbb{Z}$ . Note that it has the same absolute determinant value with  $A$ .

$$H = \begin{pmatrix} 1 & 0 & 3 & 1 \\ 0 & 1 & 0 & 4 \\ 0 & 0 & 4 & 3 \\ 0 & 0 & 0 & 5 \end{pmatrix}$$

Now we transform it into Smith normal form ( $S$ ). Here we can see

$$S = S_{left} * A * S_{right}.$$

$$S = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 20 \end{pmatrix}, S_{left} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & -3 & -2 \\ -4 & -1 & 14 & 11 \end{pmatrix}, S_{right} = \begin{pmatrix} -2 & -1 & 3 & 15 \\ -1 & -4 & 5 & 24 \\ 2 & 4 & -7 & -33 \\ 0 & 0 & 1 & 4 \end{pmatrix}$$

The previous study was done mainly in Smith normal form, but we changed the verification calculation into Hermite normal form in this study. We'll explain the reason of the change in the later chapters.



## 2.2 Calculation

### 2.2.1 Matrix transformation

The first step is to prepare for parameters used in the calculation.

We start from the  $q$  used for the verification and calculate the  $N$  with the following method:

1. Calculate  $q^{2(q-1)} - 1$ .
2. Remove prime factors from  $q^{2(q-1)} - 1$  until the smallest factor is larger than  $q^2$ .

Then we build the field with  $N$  from above. Now we can form the matrices need in the calculation:

$$G(x^k) = x^k \sum_{\alpha \in \mathbb{F}_q} x^{\log_g(g+\alpha)}$$

$$T(x^k) = x^k x^{\log_g \frac{Ag^{k(q-1)}-1}{g^q-g}}$$

The next step is to get  $M = GT$  and relate it to the lattice. Here we define  $\tilde{M}$  with a transformation from  $\mathbb{F}_{q^2}$  to  $\mathbb{F}_q$ , and  $M_1$  as in the matrix

$$M = U^{-1} \begin{pmatrix} M_0 & & & \\ & M_1 & & \\ & & \ddots & \\ & & & M_{q-2} \end{pmatrix} U$$

If we denote  $L$  as the map from an integer matrix to the lattice and construct

the following:

$$\mathcal{L}_1 = L(\tilde{M}_1 - I) + N\mathbb{Z}^{q+1}.$$

Then We have  $N | \det(\mathcal{L}_1) | N^{q+1}$ .

The hypothesis need to verify  $\det(\mathcal{L}_1) = N$  with  $q$ 's as large as possible.

### 2.2.2 Example $q = 7$

Here's an example when  $q = 7$ .

First, we calculate  $N$ .

$$q^{2(q-1)} - 1 = 2^5 * 3^2 * 5^2 * 13 * 19 * 43 * 181$$

After removing factors no larger than  $q^2 = 49$ , we got  $N = 181$ .

Then we find the polynomial  $G$ .

$$G_{poly} = x^{38} + x^{36} + x^{31} + x^{11} + x^5 + x^2 + x$$

And we get  $\tilde{G}$  from  $G$  with modulus of  $x^{q+1} - q^2$ .

$$\tilde{G}_{poly} = 180x^7 + x^5 + 132x^4 + 49x^3 + x^2 + x$$

$\alpha$	0	1	2	3	4	5	6
$DL$	1	5	38	36	2	11	31

Table 2.2: Discrete logarithm of  $g + \alpha$  in  $G$

Next step is to build the matrix  $\tilde{G}$  and  $\tilde{T}$ .

$$\tilde{G} = \begin{pmatrix} 0 & 1 & 1 & 49 & 132 & 1 & 132 & 180 \\ 132 & 0 & 1 & 1 & 49 & 132 & 1 & 132 \\ 133 & 132 & 0 & 1 & 1 & 49 & 132 & 1 \\ 49 & 133 & 132 & 0 & 1 & 1 & 49 & 132 \\ 133 & 49 & 133 & 132 & 0 & 1 & 1 & 49 \\ 48 & 133 & 49 & 133 & 132 & 0 & 1 & 1 \\ 49 & 48 & 133 & 49 & 133 & 132 & 0 & 1 \\ 49 & 49 & 48 & 133 & 49 & 133 & 132 & 0 \end{pmatrix}$$

$$\tilde{T} = \begin{pmatrix} 0 & 0 & 180 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 48 & 0 \\ 180 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 180 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 132 \\ 0 & 132 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 48 & 0 & 0 \end{pmatrix}$$

Now we calculate M and append the diagonal matrix of N.

$$\tilde{M}_1 = \begin{pmatrix} 179 & 48 & 0 & 132 & 132 & 133 & 48 & 132 \\ 180 & 131 & 49 & 180 & 49 & 1 & 0 & 48 \\ 0 & 48 & 47 & 180 & 1 & 48 & 1 & 133 \\ 49 & 133 & 132 & 180 & 1 & 1 & 49 & 132 \\ 48 & 132 & 48 & 49 & 180 & 180 & 180 & 132 \\ 132 & 132 & 133 & 48 & 132 & 47 & 49 & 0 \\ 48 & 0 & 132 & 132 & 133 & 48 & 131 & 48 \\ 133 & 48 & 132 & 48 & 49 & 0 & 180 & 179 \\ 181 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 181 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 181 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 181 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 181 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 181 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 181 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 181 \end{pmatrix}$$

At last we calculate the Hermite normal form of the matrix above. The resulting matrix will be as follow:

$$HNF = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 155 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 141 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 59 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 170 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 77 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 35 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 46 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 181 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

### 2.2.3 Verification

We follow the steps above to verify whether  $\det(\mathcal{L}_1) = N$ . In the previous study, all  $q$ 's such that  $\log_2(q^{2(q-1)}) \leq 5000$ , which means  $q \leq 307$ , was verified. Here we increased the  $q$ 's until  $\log_2(q^{2(q-1)}) \leq 10000$ , which means  $q \leq 613$ , and changed the algorithm of verification.

As for the output, we expect to get the diagonal of the resulting matrix as  $[1, 1, 1, \dots, N]$ , and only printed the diagonal instead of the full matrix which should be as follow:

$$HNF = \begin{pmatrix} 1 & & x_1 \\ & 1 & x_2 \\ & & \ddots \\ & & & N \end{pmatrix}$$

### 2.2.4 Modification

The first change to the algorithm is using Hermite normal form instead of Smith normal form during the calculation. The reason is that we tested different combinations of normal form and transformation, and then found that the Smith normal form is relatively stable under transformation. In other words it's not suitable for the speed up. For the Hermite normal form, we found different speed when we attach the diagonal matrix of  $N$  to the  $M = GT$  in different directions. Then we picked the faster one as the new algorithm.

The second change is only made for the outliers which doesn't work with the new algorithm. Due to limited time and space, some  $q$ 's exceeded our computing resources when we apply the new algorithm. So we divided  $q$ 's into several cases and treated the outliers with different algorithm. According to known factor-

ization of specific structures, we divided the  $N$ 's into smaller integers, and then applied the old algorithm on those partitions. The other steps are exactly the same.

### **2.2.5 Evaluation**

The main concern of the study is the time efficiency of the verification, so we set a series of reading of CPU time during the calculation. The threshold of the whole process was the calculation of the Hermite normal form. To compare the efficiency of this part, we record the CPU time separately for different calculations.

We also considered the space consumption during the verification. Since some of the  $q$ 's took way too much memory and caused overflow, we compare the memory usage in different cases.

# Chapter 3

## Results

### 3.1 Correctness

All  $q$ 's such that  $311 \leq q \leq 613$  were verified in addition to previous  $q$ 's such that  $q \leq 307$ . Although some of the  $q$ 's doesn't fit in the new algorithm, they were all verified by the second modification. Also, the outliers could be divided into three cases as shown in Table 3.1. According to  $q - 1$ 's factors, we can see that they contain small factors such as 2 or 4, and another large prime factor. But not all the  $q$ 's with these structures are outliers. For example, 317 and 383 has similar structures but they can go through the new algorithm.

For these outliers of the new algorithm, we partitioned the  $N$  into several factors with known factorization. Then we ran the smallest partition with old algorithm and other partitions with new algorithm. All the partitions returned

$4 \mid q - 1$	389, 557
$2 \mid q - 1$	467, 479, 503, 587
others	311, 313,... 613

Table 3.1: Grouped prime numbers  $311 \leq q \leq 613$



correct relation and the product of the determinants is exact N.

We are going to show a sample of this situation,  $q = 389$ , in the third section in this chapter.

## 3.2 Efficiency

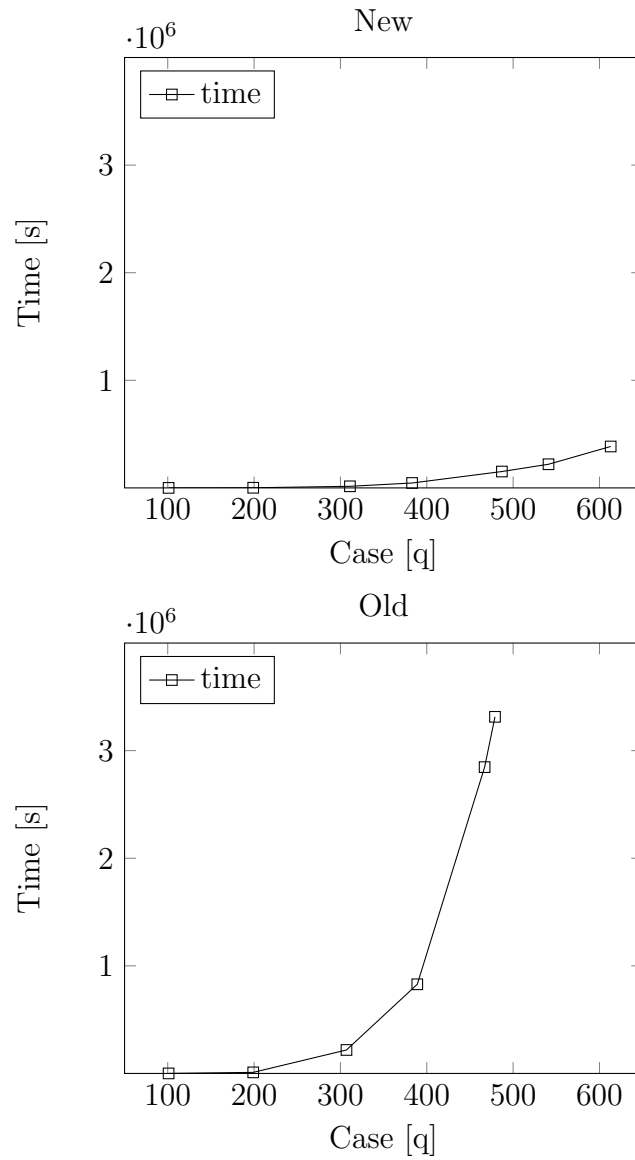


Figure 3.1: Selected HNF time of new and old algorithms

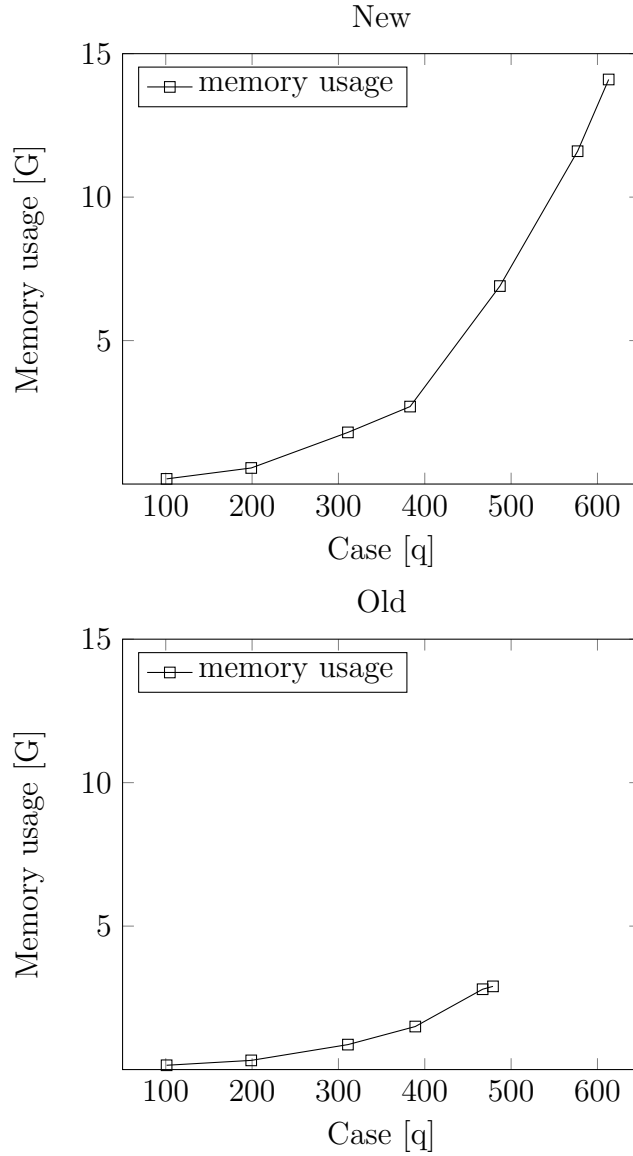


Figure 3.2: Selected memory usage of new and old algorithms

As is shown in Table 3.2, the largest part of time used in calculation is to calculate the HNF. Also, this study doesn't change the calculation method of getting G,T and M. So the efficiency evaluation in this section was referring to HNF time.

The general speed up of the algorithm is more than 1000% and vary with N's in

Time to get	Time s
N	0.644
G, T	873.816
M	40727.372
HNF	384903.052

Table 3.2: Calculation time of different steps in case  $q = 613$

the new algorithm. The threshold of the outliers are using the old algorithm to calculate the smallest partition, but we still found more than 1000% speed-up. With this improvement, we took similar time computing the case where  $q = 307$  with old algorithm and  $q = 613$  with new algorithm.

### 3.3 $q = 389$

The first outlier of the new algorithm is  $q = 389$ .

Since  $4 \mid q - 1$ , we partitioned  $N$  into  $N_1$  to  $N_4$  by dividing  $q$  as follow: The original method calculates  $N$  from  $389^{(2 \cdot 389 - 2)} - 1$ , which could be factored as  $389^{388} + 1$ ,  $389^{194} + 1$ ,  $389^{97} + 1$ , and  $389^{97} - 1$ .

Each partition of  $N$  is verified individually but we choose different algorithm for each partition. The first three partition are eligible for the new algorithm while the last partition only can be done by the old algorithm. However, due to the decrease of size  $N$ , the last part finished much faster than using the old algorithm on original  $N$ . The space consumption almost doubled using the partitioning method even without parallel computing. But it was still affordable at this data size.

	HNF time old	HNF time new
$389^{388} + 1$	around 270000	around 10000
$389^{194} + 1$	around 90000	around 3000
$389^{97} + 1$	around 30000	around 1000
$389^{97} - 1$	around 30000	unfinished

Table 3.3: Partitions and calculation time of  $q = 389$

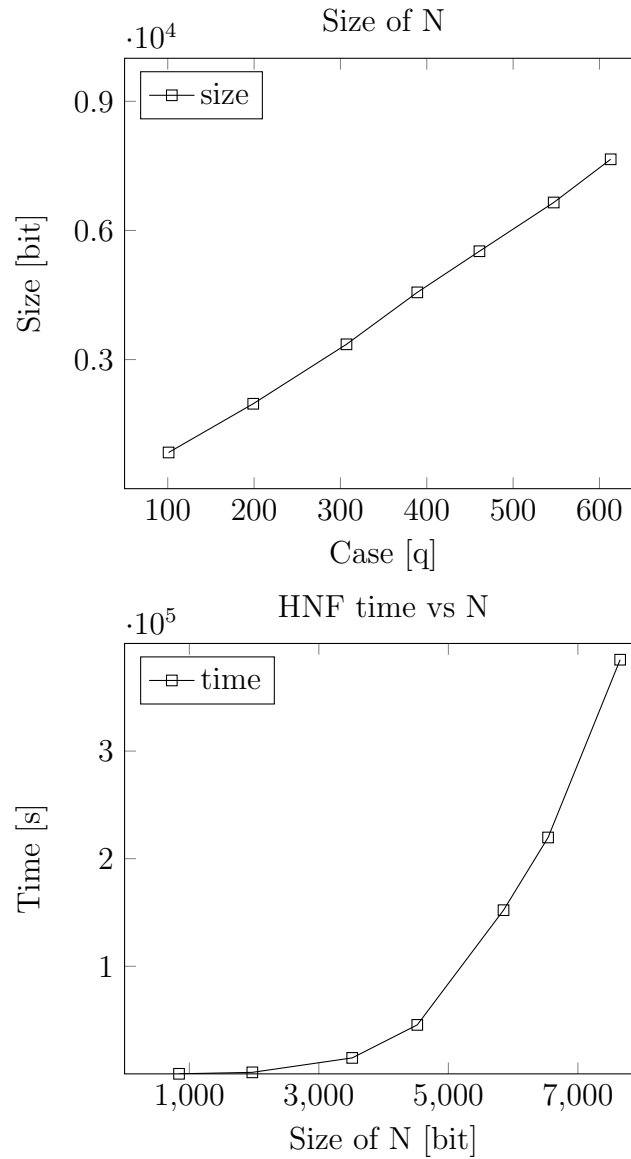


Figure 3.3: Size of N and HNF time vs Size of N

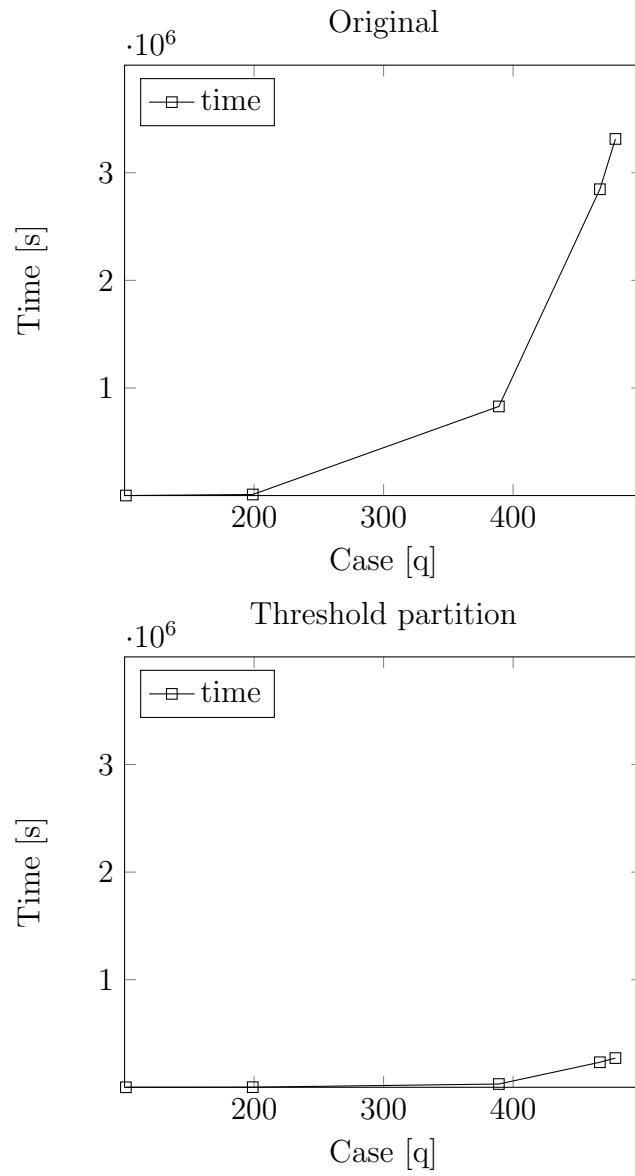


Figure 3.4: HNF time of threshold partitions and original

# Chapter 4

## Discussion

### 4.1 Matrices structure

During the calculation, the matrices  $M$  could be built in different ways. The previous algorithm appended GT after diagonal matrix with  $N$  and the new algorithm choose opposite direction. The difference between the time usage was great: the new algorithm is much faster for eligible  $q$ 's. However the space usage increased a bit.

$$M_1 = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \\ N & & \\ & N & \\ & & N \end{pmatrix}$$

$$M_2 = \begin{pmatrix} N & & & & & & \\ & N & & & & & \\ & & N & & & & \\ a_1 & a_2 & a_3 & & & & \\ b_1 & b_2 & b_3 & & & & \\ c_1 & c_2 & c_3 & & & & \end{pmatrix}$$

The possible reason for these results could be the default calculation process of the Hermite Normal Form in Sage. Elements in GT might be somehow sparse for eligible  $q$ 's, which could end up with smaller space usage at the beginning and sharp increase by the end. Space usage is unstable with each  $q$ 's structure. Smaller elements during the most calculation period reduced the time, but it also varies with  $q$ '.

The non-eligible  $q$ 's could have much more dense structures during the calculation, So the new algorithm with unstable space usage might cause overflow on our computer. But the old algorithm could solve those cases even without partitioning, using longer time.

q	factorization of q-1
311	2 * 5 * 31
317	2 * 2 * 79
383	2 * 191
389	2 * 2 * 97
467	2 * 233
479	2 * 239
487	2 * 3 * 3 * 3 * 3 * 3
503	2 * 251
557	2 * 2 * 139
587	2 * 293
613	2 * 2 * 3 * 3 * 17

Table 4.1:  $q$ 's and factor of  $q - 1$

## 4.2 Grouping $q$ 's

The outliers of the new algorithm could be grouped by their factors, since all cases was found to contain only 2's or 4's with another large prime factor of  $q - 1$ '. The cause of the overflow during the matrix solving might be related to those large factors but that is not necessarily related.

If we could try different sequences during the calculation of solving the Hermite Normal Form in the future, we might find the relation to the structures and  $q$ 's. For example, if printing the matrix during the transformation is possible, we may find out the size of elements and distribution of sizes.



# Chapter 5

## Conclusion

In summary, this study is an expansion of cases in the previous paper and improvement of algorithm from the old one. The speed-up was significant and space usage was reasonable. It's interesting to find the different cases of  $q$ 's during the calculation.

In the future, we can look into the cause of grouping with more theoretical mathematics. Also, new way of verifying the determinant would be great if faster calculation or parallel computing could be introduced to the study.

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# Appendix

## Sage code

### q=31 code

```
#####  
# This is the code when q = 31.  
# Just change the initialization for other q's.  
#####  
# initialize q and calculate N  
  
q=31  
Adlog = -1  
print "q=",q  
t=cputime()  
  
N=q^(2*q-2)-1  
for i in range(2,q^2):  
    while N%i==0:  
        N=N/i
```

```
print "N=", N #calculate N = large prime factors of  $q^{(2*q-2)}-1$ 
# print "=", factor(N)
```

#####

```
# initialize RING AND FIELD
```

```
II=Integers(N)
R.<x>=Integers(N)[]
F2=GF(q^2, 'g')
F2_gen=F2.multiplicative_generator()
F1_gen=F2_gen^(q+1)
A=F2_gen^Adlog
# A can be changed here such as A=F2_gen
# Adlog can be changed here such as Adlog=1
```

#####

```
# find G
```

```
t=cputime()
k=0
for i in (F2):
    if i^q==i:
        l=F2_gen+i
        for j in range (q^2):
```

```

                                if F2_genj==1:
                                    k=k+xj
                                    break

G=k
print "G=",G

klog = []
# klog stores the logarithm of k
for i in range(q+1):
    k=(A*F2_gen(i*(q-1))-1)/(F2_genq-F2_gen)
    for j in range (q2-1):
        if F2_genj==k:
            klog.append(j)
            break

#####
# calculate HNF

def test(q,b,Sbase):
    t=cputime()
    #calculate Gx, Tx
    S = R.quotient(x(q+1)-Sbaseb, 'a')
    XK=[]
    GK=matrix(R,q+1,q+1)

```

```

YK=[]
TK=matrix(R,q+1,q+1)

for i in range (q+1):
    XK.append(S(G*x^i))
    YK.append(S(x^(i+klog[i])))

for i in range(q+1):
    k=[]
    m=0
    for j in XK[i]:
        k.append(j)
        m+=1
    if m!=q:
        for j in range(m,q):
            k.append(0)
    GK.set_row(i,k)

for i in range(q+1):
    k=[]
    m=0
    for j in YK[i]:
        k.append(j)
    m+=1
    if m!=q:

```



```

        for j in range(m,q):
            k.append(0)
TK.set_row(i,k)

GKS=matrix(II,q+1,q+1)
TKS=matrix(II,q+1,q+1)
I = matrix.identity(q+1)

for i in range(q+1):
    GKS[i]=GK[i]
    TKS[i]=TK[i]

M=GKS*TKS
MN= matrix(ZZ,2*q+2,q+1)
for i in range(q+1):
    MN[q+1+i,i]=N
    MN[i]=(M-I)[i]

t=cputime()
M0=MN.hermite_form()
N0=vector(ZZ,q+1)
print 'HNF_time=',cputime()-t
for i in range(q+1):
    N0[i]=M0[i][i]
print N0

```

```
#####  
##### main #####  
#####
```

```
print 'begin_test'  
test(q,1,q^2)
```



$q$	factor of $(q-1)$	bits of N
2	1	0
3	2	0
5	$2^2$	5
7	$2 * 3$	5
11	$2 * 5$	36
13	$2^2 * 3$	40
17	$2^4$	71
19	$2 * 3^2$	58
23	$2 * 11$	123
29	$2^2 * 7$	172
31	$2 * 3 * 5$	162
37	$2^2 * 3^2$	195
41	$2^3 * 5$	248
43	$2 * 3 * 7$	276
47	$2 * 23$	336
53	$2^2 * 13$	386
59	$2 * 29$	446
61	$2^2 * 3 * 5$	418
67	$2 * 3 * 11$	497
71	$2 * 5 * 7$	521
73	$2^3 * 3^2$	524
79	$2 * 3 * 13$	603
83	$2 * 41$	698
89	$2^3 * 11$	746

97	$2^5 * 3$	777
101	$2^2 * 5^2$	841
103	$2 * 3 * 17$	874
107	$2 * 53$	955
109	$2^2 * 3^3$	901
113	$2^4 * 7$	988
127	$2 * 3^2 * 7$	1075
131	$2 * 5 * 13$	1223
137	$2^3 * 17$	1287
139	$2 * 3 * 23$	1284
149	$2^2 * 37$	1433
151	$2 * 3 * 5^2$	1415
157	$2^2 * 3 * 13$	1467
163	$2 * 3^4$	1553
167	$2 * 83$	1656
173	$2^2 * 43$	1731
179	$2 * 89$	1820
181	$2^2 * 3^2 * 5$	1707
191	$2 * 5 * 19$	1929
193	$2^6 * 3$	1935
197	$2^2 * 7^2$	2030
199	$2 * 3^2 * 11$	1973
211	$2 * 3 * 5 * 7$	2092
223	$2 * 3 * 37$	2320
227	$2 * 113$	2425

229	$2^2 * 3 * 19$	2366
233	$2^3 * 29$	2472
239	$2 * 7 * 17$	2564
241	$2^4 * 3 * 5$	2462
251	$2 * 5^3$	2716
257	$2^8$	2727
263	$2 * 131$	2892
269	$2^2 * 67$	2962
271	$2 * 3^3 * 5$	2802
277	$2^2 * 3 * 23$	2984
281	$2^3 * 5 * 7$	3036
283	$2 * 3 * 47$	3121
293	$2^2 * 73$	3249
307	$2 * 3^2 * 17$	3354
311	$2 * 5 * 31$	3515
313	$2^3 * 3 * 13$	3409
317	$2^2 * 79$	3602
331	$2 * 3 * 5 * 11$	3611
337	$2^4 * 3 * 7$	3758
347	$2 * 173$	4024
349	$2^2 * 3 * 29$	3931
353	$2^5 * 11$	4068
359	$2 * 179$	4184
367	$2 * 3 * 61$	4182
373	$2^2 * 3 * 31$	4311

379	$2 * 3^3 * 7$	4261
383	$2 * 191$	4520
389	$2^2 * 97$	4561
397	$2^2 * 3^2 * 11$	4565
401	$2^4 * 5^2$	4651
409	$2^3 * 3 * 17$	4765
419	$2 * 11 * 19$	4990
421	$2^2 * 3 * 5 * 7$	4782
431	$2 * 5 * 43$	5126
433	$2^4 * 3^3$	5065
439	$2 * 3 * 73$	5256
443	$2 * 13 * 17$	5303
449	$2^6 * 7$	5333
457	$2^3 * 3 * 19$	5477
461	$2^2 * 5 * 23$	5517
463	$2 * 3 * 7 * 11$	5505
467	$2 * 233$	5676
479	$2 * 239$	5875
487	$2 * 3^5$	5852
491	$2 * 5 * 7^2$	5909
499	$2 * 3 * 83$	6081
503	$2 * 251$	6234
509	$2^2 * 127$	6291
521	$2^3 * 5 * 13$	6385
523	$2 * 3^2 * 29$	6420

541	$2^2 * 3^3 * 5$	6540
547	$2 * 3 * 7 * 13$	6649
557	$2^2 * 139$	7001
563	$2 * 281$	7096
569	$2^3 * 71$	7124
571	$2 * 3 * 5 * 19$	7100
577	$2^6 * 3^2$	7134
587	$2 * 293$	7435
593	$2^4 * 37$	7450
599	$2 * 13 * 23$	7577
601	$2^3 * 3 * 5^2$	7438
607	$2 * 3 * 101$	7702
613	$2^2 * 3^2 * 17$	7653

Table 5.1: Factor of  $(q-1)$ 's and bits of  $N$ 's



## Selected $q$ 's and determinants

$q=311$

$N=$  12220411457481341798648123502938873888169864938486419458061779684519  
633773718325807382423254560854121891872381196014855253725161140044501326  
840291319765434176918675896823872774734003455372466148895851643435785224  
626009714904235854356647470448102513132512659958395237446359110526454795  
959177097885577601999780360566406370302177987327533213231942330332426897  
893786185740955907652661699531167600216318185100691978130852121936769490  
958999056294346567845100249788412392103908381648864114235372837619101634  
856648623401207731452963462537018184481684290640409306322381702308295939  
247119348497288093733740380345793466966323367569332795813194076393184394  
585090377448437440179051089603043396280934495150890826106447800609207045  
866225221768826271313973947765248326560131041325812591362336610694982552  
719356874239881180561700880555873289430567531921717380049998010517230720  
909956655060247530481467964474502154179720952919798058994446256251276835  
469241111077252199650513993011290200921954199870571983961084496324555966  
103674275690918673335780710423372758684182796492718777256080697208591157  
882465160281146160203042977718927562171798903089885996738245775406342229  
924627582132962202562863260335072480256211728775630884357861164063291314  
443654448871159002687142735391915039896923028993710508489164161294235569  
656534675607586762122068181006579255587288309193592733615807492684765354  
786331697328026979130749878112737053653471058662859821791094258932270768  
354545403030357372180059883131497577986390852673734610006458011514436850  
188168696647677

q=317

N= 402178517315408532265557475313709128900642933088657156166009476408  
202509681873566506556860622628615415250406411256486193790195292561740756  
828692656877964605518474660604931660602401189937177166502280067658076703  
471961958395496828071439644059033699483453011899102793633180115042736293  
969351824257007636313684036577093296614430437259897578194511048106972626  
154628534084947229973228665061072761641529400923510254587059604321191542  
458696023393733233795898603980460105364903896748862345694522598908903340  
127541932929432251393310073456565284205967031184894566955489312972094944  
795483695142430417590847196707068277230087448036189097993880314622178810  
530910572725917749159250619790310305579189405681193749036634425264756545  
759756476693251128100013684659758781039206653581803916564952699564243360  
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226370019371659680709847471151862052414274675548879478732294151654643293  
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489281716644570800566989247620157830535967893432069015809187379383344560  
676027742767707049314930830503048814271834601558295345761998580035833724  
134658076056430746621591562033073878493880256832419685336461920233125349  
356071215325523711380107873697676323654222944922124408730727071823364525  
917529732090853800827139907360261462749585066000929469239696326837610694  
685671794718075280137645667200054077157689142027681557

q=383

N= 14580769790786048579713073320512610759358484605246061948980515775207  
749522110693258852097273424191873248579885379708597077319010135038309811  
148689636791293302479494636706680190532771167148642345693727279997154038  
690110169719553348633585613392115542616632304219546298567365154230318218  
601384052109394332710553067093351725149802854193796654545885751461736265  
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426285444009089343596282851591901760049601908280690745975277477214965417  
320773174296193526683723202799256147587899707749736430575896042018802230  
748469568640817841768470844054598213946112148344496639298326604792743863  
101795643546061787226868904853622300820060191558484556712143504015425500  
489435241601908370115633665386847975801896382204023084106792525849140357  
814005639837720025963216362294962353023755851403298034019097179492070336  
920224916516971490223103684749414089643854678554835055762013923412969785  
107930991057963749985630832287416504887831741698032907058955547702842479  
466077083224351623585625375739584870015854879977410457528273236181188576  
324570749365101263546360316778098792004727586996011934541573963167428915  
757250488106937836616903820719219556478688451793141490477127006483478774  
594029507077848816608634304081614526182878447122531215803721653040712100  
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