

**The University of Oklahoma
Health Sciences Center**

Single Audit Reports

June 30, 2021

The University of Oklahoma Health Sciences Center

June 30, 2021

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The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Research Cluster						
Department of Agriculture						
Department of Agriculture	PLANT AND ANIMAL DISEASE, PEST CONTROL AND ANIMAL CARE	Direct	10.025		\$ 7,721	
Texas A&M University	PLANT AND ANIMAL DISEASE, PEST CONTROL AND ANIMAL CARE	Pass through	10.025	M2002969	27,226	\$ 34,947
Department of Agriculture	NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM	Direct	10.314	20176800126355		209,159
Total Department of Agriculture					<u>244,106</u>	<u>\$ 84,829</u>
Department of Defense						
Department of Defense	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1810637	3,607	
Department of Defense	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1910647	52,711	
Department of Defense	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH2010554	159,441	20,199
Department of Defense	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH2110003	591	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1710226	135,507	123,507
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1720048	273,169	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1810054	131,472	14,642
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1810066	78,245	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1810073	207,858	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1910096	99,598	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH1910356	357,742	37,235
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH2010002	28,452	
Department of the Army	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12.420	W81XWH2010080	91,357	2,323
Johns Hopkins University	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Pass through	12.420	2004481747	26,631	
Johns Hopkins University	MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Pass through	12.420	2001214293	117,932	1,764,313
Henry M Jackson Found Adv Military Med	UNIFORMED SERVICES UNIVERSITY MEDICAL RESEARCH PROJECTS	Pass through	12.750	4915		43,584
Total Department of Defense					<u>1,807,897</u>	<u>197,906</u>
Department of the Treasury						
COVID – Department of the Treasury	THE CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT	Pass through	21.019	CARES1CarlsonOUHSC	200,795	
COVID – Department of the Treasury	THE CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT	Pass through	21.019	CARES2KuhnOUHSC	9,518	
COVID – Department of the Treasury	THE CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT	Pass through	21.019	CARES3FloydOUHSC	48,283	258,596
Total Department of the Treasury					<u>258,596</u>	
NASA						
Rensselaer Polytechnic Institute	BASIC RESEARCH, EDUCATIONAL OUTREACH, AND TRAINING OPPORTUNITIES IN THE AREA OF SPACE OPERATIONS	Pass through	43.007	A210012S002		6,549
National Science Foundation						
National Science Foundation	EDUCATION AND HUMAN RESOURCES	Direct	47.076	1849507	44,713	
Oklahoma State University	EDUCATION AND HUMAN RESOURCES	Pass through	47.076	15781500UHSC	11,658	56,371
Total National Science Foundation					<u>56,371</u>	
Department of Education						
National Center for Special Education	RESEARCH IN SPECIAL EDUCATION	Pass through	84.324	R324A160226	309,402	13,726
Department of Health and Human Services						
Natl Cancer Inst	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Direct	93.RD	75N91019D00020	1,248,044	811,860
Natl Cancer Inst	R&D MEDICAL: BIOMEDICAL (BASIC RESEARCH)	Direct	93.RD	HHSN261201500038I	32,267	26,343

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Natl Heart, Lung and Blood Institute	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Direct	93.RD	75N92019D00027	1,594,976	989,367
Natl Heart, Lung and Blood Institute	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Direct	93.RD	75N92019D00028	596,380	
Columbia University	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Pass through	93.RD	28GG01599701	22,639	
Duke Clinical Research Institute	R&D MEDICAL: BIOMEDICAL (APPLIED RESEARCH/EXPLORATORY DEVELOPMENT)	Pass through	93.RD	HHSN275201000003I	1,183	
Duke Clinical Research Institute	R&D MEDICAL: BIOMEDICAL (APPLIED RESEARCH/EXPLORATORY DEVELOPMENT)	Pass through	93.RD	HHSN275201800003I	2,317	
Duke Clinical Research Institute	R&D MEDICAL: BIOMEDICAL (APPLIED RESEARCH/EXPLORATORY DEVELOPMENT)	Pass through	93.RD	NICHD2011POP01	29,904	
La Jolla Institute	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Pass through	93.RD	3003902130381	105,925	
Illinois Institute of Technology	R&D MEDICAL: BIOMEDICAL (APPLIED RESEARCH/EXPLORATORY DEVELOPMENT)	Pass through	93.RD	14102573001RL	153,982	
Leidos	PREVENT PRECLINICAL DRUG DEVELOPMENT PROGRAM	Pass through	93.RD	18X103	2,669	
Oklahoma State University	GENERAL SCIENCE AND TECHNOLOGY R&D SERVICES; GENERAL SCIENCE AND TECHNOLOGY; APPLIED RESEARCH	Pass through	93.RD	1-503851-OUHSC	1,347	
Natl Inst on Drug Abuse	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Direct	93.077		700,381	43,958
Natl Cancer Inst	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Direct	93.077		67,900	
Ohio State University	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Pass through	93.077	60073172	5,332	773,613
OK Dept Mental Hlth Substance Abuse Svcs	ENHANCE SAFETY OF CHILDREN AFFECTED BY SUBSTANCE ABUSE	Pass through	93.087	4529060779		100,630
Oklahoma State Department of Health	MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	Pass through	93.110	3409023991		266,335
Natl Inst Environmental Health Sciences	ENVIRONMENTAL HEALTH	Direct	93.113		149,454	
Columbia University	ENVIRONMENTAL HEALTH	Pass through	93.113	5GG017501-01	1,467	
University of Oklahoma – Norman	ENVIRONMENTAL HEALTH	Pass through	93.113	201924	5,107	156,028
Natl Inst of Dental & Craniofacial Research	ORAL DISEASES AND DISORDERS RESEARCH	Direct	93.121		626,334	110,895
Oklahoma Medical Research Foundation	ORAL DISEASES AND DISORDERS RESEARCH	Pass through	93.121	0325-01OUHSCCK	327	626,661
Maternal & Child Health Bureau	EMERGENCY MEDICAL SERVICES FOR CHILDREN	Direct	93.127			124,678
Agency for Healthcare Research & Quality	RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES	Direct	93.226			278,713
Natl Inst of Mental Health	MENTAL HEALTH RESEARCH GRANTS	Direct	93.242		242,756	166,711
Laureate Institute for Brain Research	MENTAL HEALTH RESEARCH GRANTS	Pass through	93.242	R21MH11387102	2,782	
RAND	MENTAL HEALTH RESEARCH GRANTS	Pass through	93.242	SCON00000400	2,718	248,256
OK Dept Mental Hlth Substance Abuse Svcs	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES	Pass through	93.243	4529060554	566,599	
COVID – OK Dept Mental Hlth Substance Abuse Svcs	PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE	Pass through	93.243	4529060554	842,237	1,408,836
Colorado State University	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES	Pass through	93.262	G-71109-01	36,903	
Colorado State University	PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE	Pass through	93.262	FY21347009	15,815	52,718
Natl Inst on Alcohol Abuse & Alcoholism	OCCUPATIONAL SAFETY AND HEALTH PROGRAM	Pass through	93.262		38,082	38,082
Ohio State University	OCCUPATIONAL SAFETY AND HEALTH PROGRAM	Direct	93.273		9,376	
Univ of North Texas Health Science Ctr	ALCOHOL RESEARCH PROGRAMS	Pass through	93.273	60080400	28,381	
Univ of North Texas Health Science Ctr	ALCOHOL RESEARCH PROGRAMS	Pass through	93.273	RF00213-2021-0117	24,816	100,655
National Institute on Drug Abuse	ALCOHOL RESEARCH PROGRAMS	Pass through	93.273	RF0014020180325	61,340	
Truth Initiative®	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Direct	93.279		4,022	
Univ of Texas MD Anderson Cancer Center	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Pass through	93.279	7016UNIVOKLAHOMA	6,332	
	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Pass through	93.279	3001743995		

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The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Georgia State University	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Pass through	93.279	SP0001439502	18,587	
University of Alabama at Birmingham	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Pass through	93.279	000525110-001	20,196	
University of Illinois at Chicago	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Pass through	93.279	18119	29,031	139,508
Natl Inst Biomedical Imaging & Bioeng	DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH	Direct	93.286		230,074	6,476
Oklahoma Medical Research Foundation	DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH	Pass through	93.286	0310-03CA	5,853	
Oklahoma Medical Research Foundation	DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH	Pass through	93.286	031003MET	29,313	
Oklahoma Medical Research Foundation	DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH	Pass through	93.286	031003WELL	13,868	279,108
Natl Ctr on Minority Health & Health Disparities	MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH	Direct	93.307		1,246,305	488,947
University of Houston	MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH	Pass through	93.307	R-21-0022	81,144	
Washington State University	MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH	Pass through	93.307	134649G004064	39,081	1,366,530
Natl Inst Child Health & Human Dev	TRANS-NIH RESEARCH SUPPORT	Direct	93.310		309,444	
Cherokee Nation of Oklahoma	TRANS-NIH RESEARCH SUPPORT	Pass through	93.310	RAD-XUPNARCH	124,654	
Duke University	TRANS-NIH RESEARCH SUPPORT	Pass through	93.310	A032483	23,682	
Univ of Arkansas for Medical Sciences	TRANS-NIH RESEARCH SUPPORT	Pass through	93.310	54005	168,068	
University of New Mexico HSC	TRANS-NIH RESEARCH SUPPORT	Pass through	93.310	3RGJ7	68,034	693,882
Office of the Director, NIH	RESEARCH INFRASTRUCTURE PROGRAMS	Direct	93.351			1,125,608
Office of Research Infrastructure Prog	CONSTRUCTION SUPPORT	Direct	93.352	C06OD028362		995,602
University of South Florida	NATIONAL CENTER FOR RESEARCH RESOURCES	Pass through	93.389	P000005709		17,984
Natl Cancer Inst	CANCER CAUSE AND PREVENTION RESEARCH	Direct	93.393		2,718,753	569,373
Moffitt Cancer Center	CANCER CAUSE AND PREVENTION RESEARCH	Pass through	93.393	10-20154-99-01-G2	13,066	
Moffitt Cancer Center	CANCER CAUSE AND PREVENTION RESEARCH	Pass through	93.393	10202629901G2	31,492	
Ohio State University	CANCER CAUSE AND PREVENTION RESEARCH	Pass through	93.393	60073077	162,164	2,925,475
Natl Cancer Inst	CANCER DETECTION AND DIAGNOSIS RESEARCH	Direct	93.394		523,955	164,817
University of Oklahoma – Norman	CANCER DETECTION AND DIAGNOSIS RESEARCH	Pass through	93.394	202057	1,369	
University of California, Irvine	CANCER DETECTION AND DIAGNOSIS RESEARCH	Pass through	93.394	2020-1391	59,935	
Univ of Texas MD Anderson Cancer Center	CANCER DETECTION AND DIAGNOSIS RESEARCH	Pass through	93.394	3001372885	26,124	611,383
Natl Cancer Inst	CANCER TREATMENT RESEARCH	Direct	93.395		1,577,573	12,801
Brigham and Women's Hospital	CANCER TREATMENT RESEARCH	Pass through	93.395	OK003	8,697	
Children's Hospital of Philadelphia	CANCER TREATMENT RESEARCH	Pass through	93.395	1U10CA180886	386,869	
COARE Biotechnology, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	20180330	8,282	
COARE Biotechnology, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	20181074	3,449	
COARE Biotechnology, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	20200057	2,009	
ECOG-ACRIN	CANCER TREATMENT RESEARCH	Pass through	93.395	U10CA180820	32,406	
KinaRX LLC	CANCER TREATMENT RESEARCH	Pass through	93.395	20200278	123,577	
NRG Oncology Foundation, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	NRGMooreGY6	102,486	
NRG Oncology Foundation, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	NRGMannelGY6	9,436	
NRG Oncology Foundation, Inc.	CANCER TREATMENT RESEARCH	Pass through	93.395	20191985	260,128	57,148
Yale University	CANCER TREATMENT RESEARCH	Pass through	93.395	GR109663	74,451	2,589,363
Natl Cancer Inst	CANCER BIOLOGY RESEARCH	Direct	93.396			2,482,756
Natl Cancer Inst	CANCER CENTERS SUPPORT GRANTS	Direct	93.397			3,100,555
Natl Cancer Inst	CANCER RESEARCH MANPOWER	Direct	93.398			10,765
Natl Cancer Inst	CANCER CONTROL	Direct	93.399		1,717,151	

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The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
NRG Oncology Foundation, Inc.	CANCER CONTROL	Pass through	93.399	NCORPWalkerGY6	167,579	1,884,730
Oklahoma State Department of Health	IMPROVING THE HEALTH OF AMERICANS THROUGH PREVENTION AND MANAGEMENT OF DIABETES AND HEART DISEASE AND STROKE	Pass through	93.426	3409023138		247,550
Hongwu Wang, LLC	ACL NATIONAL INSTITUTE ON DISABILITY, INDEPENDENT LIVING, AND REHABILITATION RESEARCH	Pass through	93.433	90SF001901		4,566
Administration for Children and Families	HEAD START	Direct	93.600	90YR0115		7,910
OK Dept Mental Hlth Substance Abuse Svcs	OPIOID STR	Pass through	93.788	4529057805		268,255
Natl Heart, Lung and Blood Institute	CARDIOVASCULAR DISEASES RESEARCH	Direct	93.837		1,775,182	48,003
Boston Children's Hospital	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	GENFD0001593521	14,655	
Boston Children's Hospital	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	GENFD0001868142	200,921	
New England Research Institute	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	R01HL141213	4,874	
New England Research Institute	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	U01HL107407	9,235	
Oklahoma Medical Research Foundation	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	03220102OUHSC	3,726	
University of Alabama at Birmingham	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	000503570-025	74,697	
University of California San Diego	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	99198911	120,902	
Medical College of Wisconsin	CARDIOVASCULAR DISEASES RESEARCH	Pass through	93.837	R01HL147976	6,144	1,639
Natl Heart, Lung and Blood Institute	LUNG DISEASES RESEARCH	Direct	93.838		452,335	2,529
Indiana University	LUNG DISEASES RESEARCH	Pass through	93.838	8483-UOHSC	21,090	
Sanford Health	LUNG DISEASES RESEARCH	Pass through	93.838	SR201009	346	
University of Arizona	LUNG DISEASES RESEARCH	Pass through	93.838	487318	1,444	
University of Pennsylvania	LUNG DISEASES RESEARCH	Pass through	93.838	831295	1,430	476,645
Natl Heart, Lung and Blood Institute	BLOOD DISEASES AND RESOURCES RESEARCH	Direct	93.839		116,421	
Emory University	BLOOD DISEASES AND RESOURCES RESEARCH	Pass through	93.839	A394591	29,992	
University of Colorado	BLOOD DISEASES AND RESOURCES RESEARCH	Pass through	93.839	FY21952005	11,522	
Washington University in St. Louis	BLOOD DISEASES AND RESOURCES RESEARCH	Pass through	93.839	WU18222	8,720	166,655
Natl Inst of Arthritis & Musculoskeletal Disease	ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH	Direct	93.846		318,749	
University of Michigan	ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH	Pass through	93.846	SUBK00013476	11,338	
Yale University	ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH	Pass through	93.846	GR108842CON80002267	4,907	
Yale University	ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH	Pass through	93.846	GR108957	30,349	365,343
Natl Inst Diabetes Digestive Kidney Disease	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Direct	93.847		5,626,065	1,853,340
Emory University	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	T891132	293	
George Washington University	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	17D14	34,391	
University of Colorado – Denver	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	FY21346001	12,719	
Texas A&M University	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	M2100374	236	
Texas Biomedical Research Institute	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	1804613301	9,246	
University of Alabama at Birmingham	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	000508172SP006SC010	262	
Univ of Kansas Medical Ctr Rsch Inst	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	ZAT00060	8,167	
University of Maryland	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	I300318A	29,347	
University of South Florida	DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH	Pass through	93.847	TrialNet	23,671	5,744,397
Natl Inst of Neurological Disorders and Stroke	EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	Direct	93.853		759,086	188,559
University of Cincinnati	EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	Pass through	93.853	010785138586	14,385	
University of Cincinnati	EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	Pass through	93.853	012044135856	2,889	
University of Cincinnati	EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	Pass through	93.853	012340135856	2,193	778,553

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Natl Inst of Allergy & Infectious Disease	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Direct	93.855		2,884,753	
Heartland Vaccines, LLC	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	20191050	28,513	
Massachusetts General Hospital	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	229712	7,566	
Oklahoma Medical Research Foundation	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	0170160UHSCLM	4,538	
Oklahoma Medical Research Foundation	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	017016S1OUHSCLang	36,039	
Oklahoma Medical Research Foundation	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	0170170851JMOUHSC	258,504	
Oklahoma Medical Research Foundation	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	0170170UHSCLM	6,457	
ProThera Biologics Inc.	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	UO-RT-2019-1	80,069	
University of Alabama at Birmingham	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	000520244SP008SC004	80,256	
University of Chicago	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass through	93.855	AWD100279SUB00000118	232,613	3,619,308
Natl Inst of General Medical Sciences	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Direct	93.859		15,996,191	3,187,700
BBC Entrepreneurial Training & Consulting	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	20200957	12,015	
BBC Entrepreneurial Training & Consulting	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	20201313	40,699	
Cherokee Nation of Oklahoma	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	257910	73,400	
Cherokee Nation of Oklahoma	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	258699	187,697	
Laureate Institute for Brain Research	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	P20GM121312OU1	61,714	
Oklahoma Medical Research Foundation	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	0249-0806OUHSC-JM	1,472	
Oklahoma Medical Research Foundation	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	02490804OUHSCMW	394,796	
Oklahoma State University Center for Health Sciences	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	57113701	162,014	
Oklahoma State University	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	511320MTC00	14,207	11,275
Oklahoma State University	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	5554009	119,407	
Oklahoma State University	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	5554600GarciaContreras	281,913	
University of Colorado	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	FY20952002	180,807	
University of Oklahoma – Norman	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	201969	4,815	
University of Oklahoma – Norman	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	2021-55	546,310	
Wake Forest University Health Sciences	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	605-102520-118471	673	
West Virginia University	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass through	93.859	12-303G-UOK	104,303	18,182,433
Natl Inst of Child Health & Human Development	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Direct	93.865		510,972	
Boston Children's Hospital	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	GENFD0001772364	28	
Children's Hospital of Philadelphia	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	20171806	139,078	
Cincinnati Children's Hospital Med Ctr	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	312998	17,980	
Johns Hopkins University	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	2004896734	5,655	
Loma Linda University	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	2150113UO2	35,093	
Nationwide Children's Hospital	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	700221052100	1,783	
Oklahoma State University	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	1-57-1918OUHSC	19,027	
Univ of Arkansas for Medical Sciences	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	51460	6,724	
Univ of Arkansas for Medical Sciences	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	51460	15,659	
Univ of Arkansas for Medical Sciences	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	51460iAmHealthyTraining	760	
Univ of Arkansas for Medical Sciences	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	51460Leadership	6,378	
Univ of Arkansas for Medical Sciences	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	51460VDORA	11,925	
University of California, San Diego	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	703944	163,759	
University of Minnesota	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass through	93.865	P007776101	141,431	1,076,252
Natl Inst on Aging	AGING RESEARCH	Direct	93.866		4,614,317	1,203,478
American Federation for Aging Research	AGING RESEARCH	Pass through	93.866	17-NSCC3-OKLAHOMA	12,071	
Buck Institute for Research on Aging	AGING RESEARCH	Pass through	93.866	SA21028-OUHSC	22,616	
Grand Valley State University	AGING RESEARCH	Pass through	93.866	GVSU-207047-01	11,729	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Oklahoma Medical Research Foundation	AGING RESEARCH	Pass through	93.866	029603OUHSC	14,575	
Oklahoma Medical Research Foundation	AGING RESEARCH	Pass through	93.866	03180101OUHSC	60,064	
University of California Los Angeles	AGING RESEARCH	Pass through	93.866	1557GVC148	63,835	
University of Colorado	AGING RESEARCH	Pass through	93.866	FY21367001	20,919	
University of Florida	AGING RESEARCH	Pass through	93.866	SUB00002627	1,686	
University of Kentucky	AGING RESEARCH	Pass through	93.866	320000222319098	130,178	
University of Michigan	AGING RESEARCH	Pass through	93.866	3004121226	345,657	
University of New Mexico	AGING RESEARCH	Pass through	93.866	76533587X6	6,784	
Washington State University	AGING RESEARCH	Pass through	93.866	138590G004280	9,449	
Washington State University	AGING RESEARCH	Pass through	93.866	135230G004096	47,426	
Natl Eye Inst	VISION RESEARCH	Direct	93.867		6,314,484	487,834
Dartmouth-Hitchcock Medical Center	VISION RESEARCH	Pass through	93.867	GC100060001	12,869	
Jaeb Center for Health Research	VISION RESEARCH	Pass through	93.867	ATS17	2,601	
Jaeb Center for Health Research	VISION RESEARCH	Pass through	93.867	MTS1	979	
Jaeb Center for Health Research	VISION RESEARCH	Pass through	93.867	IXT5	544	
Oklahoma Medical Research Foundation	VISION RESEARCH	Pass through	93.867	029902OUHSC	16,269	
University of Colorado	VISION RESEARCH	Pass through	93.867	FY20724001	18,292	
University of Oklahoma – Norman	VISION RESEARCH	Pass through	93.867	201913	8,508	
University of Oklahoma – Norman	VISION RESEARCH	Pass through	93.867	202070	126,600	
University of Houston	VISION RESEARCH	Pass through	93.867	R-20-0024	77,549	
Oklahoma State Department of Health	MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT	Pass through	93.870	3409023011	152,015	20,396
Oklahoma State Department of Health	MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT	Pass through	93.870	3409024098	355,049	
Total Department of Health and Human Services					71,747,273	11,158,808
Total Research Cluster					74,430,194	11,455,269
Other Programs						
Department of Agriculture						
Oklahoma Department of Agriculture	SPECIALTY CROP BLOCK GRANT PROGRAM – FARM BILL	Pass through	10.170	0409017772	7,999	
Oklahoma Department of Human Services	STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	Pass through	10.561	209340/506756	1,403,637	
Total Department of Agriculture					1,411,636	
Department of Justice						
Office of Justice Programs	MISSING CHILDREN'S ASSISTANCE	Direct	16.543		603,002	
Oklahoma District Attorneys Council	CRIME VICTIM ASSISTANCE	Pass through	16.575	2019VOCAOUHSC124	50,020	
Oklahoma District Attorneys Council	CRIME VICTIM ASSISTANCE	Pass through	16.575	2020VOCAOUHSC046	66,117	
Oklahoma District Attorneys Council	CRIME VICTIM ASSISTANCE	Pass through	16.575	2019VOCAOUHSC121	52,871	
Oklahoma District Attorneys Council	CRIME VICTIM ASSISTANCE	Pass through	16.575	2020VOCAOUHSC077	106,577	275,585
International Chiefs of Police	CRIME VICTIM ASSISTANCE – DISCRETIONARY GRANTS	Pass through	16.582	IACP2019761204	33,750	
Legal Aid Services of Oklahoma, Inc.	CRIME VICTIM ASSISTANCE – DISCRETIONARY GRANTS	Pass through	16.582	20192038	48,678	82,428
Total Department of Justice					961,015	
Department of Education						
Department of Education	SPECIAL EDUCATION – PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES	Direct	84.325		201,856	
COVID – University of Oklahoma – Norman (HEERF)	HIGHER EDUCATION EMERGENCY RELIEF FUND	Pass Through	84.425E	17-18004	2,018,524	
Total Department of Education					2,220,380	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Department of Health and Human Services – Other						
University of New Mexico HSC	HEALTH AND HUMAN SERVICES	Pass through	93.U01	3RJK7	755,893	104,283
Zero to Three	HEALTH AND HUMAN SERVICES	Pass through	93.U02	2020030237	2,378	
Zero to Three	HEALTH AND HUMAN SERVICES	Pass through	93.U03	2021030280	778	
Oklahoma Department of Human Services	SPECIAL PROGRAMS FOR THE AGING TITLE IV AND TITLE II DISCRETIONARY PROJECTS	Pass through	93.048	21006607	172,238	
Univ of Texas Health Sciences Ctr	BLOOD DISORDER PROGRAM: PREVENTION, SURVEILLANCE, AND RESEARCH	Pass through	93.080	0011472C	5,204	
Okla Dept of Mental Health & Substance Abuse Services	ENHANCE SAFETY OF CHILDREN AFFECTED BY SUBSTANCE ABUSE	Pass through	93.087	4529062484	58,544	
Okla Dept of Mental Health & Substance Abuse Services	ENHANCE SAFETY OF CHILDREN AFFECTED BY SUBSTANCE ABUSE	Pass through	93.087	4529060360	254,876	313,420
Maternal & Child Health Bureau	MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	Direct	93.110		604,711	10,307
Health Resources & Services Administration	MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	Direct	93.110		184,367	8,417
Univ of Texas Health Sciences Ctr	MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	Pass through	93.110	SA0000862	20,275	809,353
University of New Mexico HSC	AIDS EDUCATION AND TRAINING CENTERS	Pass through	93.145	3RGL7	214,083	
COVID – HIV/AIDS Bureau	COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH	Direct	93.153	H1XHA36993	3,202	
HIV/AIDS Bureau	COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH	Direct	93.153	H12HA24834	189,133	192,335
Bureau of Health Professions	GRADUATE PSYCHOLOGY EDUCATION PROGRAM AND PATIENT NAVIGATOR AND CHRONIC DISEASE PREVENTION PROGRAM	Direct	93.191		224,201	
Center for Mental Health Services	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE	Direct	93.243	H79SM083028	3,710,317	406,785
Okla Dept of Mental Health & Substance Abuse Services	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE	Pass through	93.243	4529060975	88,206	
University of Iowa	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE	Pass through	93.243	S00950-02	49,385	3,847,908
COVID – Health Resources & Services Administration	POISON CENTER SUPPORT AND ENHANCEMENT GRANT	Direct	93.253		55,966	
Health Resources & Services Administration	POISON CENTER SUPPORT AND ENHANCEMENT GRANT	Direct	93.253		223,039	279,005
Natl Inst of Occupational Safety & Health	OCCUPATIONAL SAFETY AND HEALTH PROGRAM	Direct	93.262			155,683
Oklahoma State Department of Health	IMMUNIZATION GRANTS	Pass through	93.268	3409024377		145,019
Washington University in St. Louis	SICKLE CELL TREATMENT DEMONSTRATION PROGRAM	Pass through	93.365	WU21248		51,397
Association of University Centers on Disabilities	STRENGTHENING PUBLIC HEALTH SYSTEMS AND SERVICES THROUGH NATIONAL PARTNERSHIPS TO IMPROVE AND PROTECT THE NATION'S HEALTH	Pass through	93.421	20191235	1,367	
Association of University Centers on Disabilities	STRENGTHENING PUBLIC HEALTH SYSTEMS AND SERVICES THROUGH NATIONAL PARTNERSHIPS TO IMPROVE AND PROTECT THE NATION'S HEALTH	Pass through	93.421	40218814	62,214	
Association of University Centers on Disabilities	STRENGTHENING PUBLIC HEALTH SYSTEMS AND SERVICES THROUGH NATIONAL PARTNERSHIPS TO IMPROVE AND PROTECT THE NATION'S HEALTH	Pass through	93.421	01218814	133,943	
Association of University Centers on Disabilities	STRENGTHENING PUBLIC HEALTH SYSTEMS AND SERVICES THROUGH NATIONAL PARTNERSHIPS TO IMPROVE AND PROTECT THE NATION'S HEALTH	Pass through	93.421	1208813	182,332	379,856
Oklahoma State Department of Health	IMPROVING THE HEALTH OF AMERICANS THROUGH PREVENTION AND MANAGEMENT OF DIABETES AND HEART DISEASE AND STROKE	Pass through	93.426	3409024095	62,453	
COVID – U.S. Department of Health and Human Services	PROVIDER RELIEF FUNDS	Direct	93.498		2,690,245	
Tulane University	AFFORDABLE CARE ACT (ACA) PUBLIC HEALTH TRAINING CENTERS PROGRAM	Pass through	93.516	TULHSC5584362021	32,677	
Oklahoma State Department of Health	COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS	Pass through	93.590	3409023261	54,904	
Administration on Developmental Disabilities	UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES EDUCATION, RESEARCH, AND SERVICE	Direct	93.632		388,567	33,605
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	20000563	4,448	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	20002663	767	
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	20002664	1,120	
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	21000117	7,586	
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	21000414	14,692	
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	21001007	28,364	
Oklahoma Department of Human Services	CHILDREN'S JUSTICE GRANTS TO STATES	Pass through	93.643	21001008	55,393	112,370
Oklahoma Department of Human Services	CHILD ABUSE AND NEGLECT STATE GRANTS	Pass through	93.669	19000124		135,967
Bureau of Health Workforce	MEDICAL STUDENT EDUCATION	Pass through	93.680	T99HP33558		2,148,940
Health Resources & Services Administration	MENTAL AND BEHAVIORAL HEALTH EDUCATION AND TRAINING GRANTS	Direct	93.732			195,436
Administration for Community Living	EVIDENCE-BASED FALLS PREVENTION PROGRAMS FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)	Direct	93.761	90FPSG0025		129,814
Oklahoma Health Care Authority	CHILDREN'S HEALTH INSURANCE PROGRAM	Pass through	93.767	8079004156		116,010
Oklahoma Department of Human Services	MEDICAL ASSISTANCE PROGRAM	Pass through	93.778	20000217	12,937	
Oklahoma Department of Human Services	MEDICAL ASSISTANCE PROGRAM	Pass through	93.778	20000417	1,115	
Oklahoma Department of Human Services	MEDICAL ASSISTANCE PROGRAM	Pass through	93.778	21000244	175,418	
Oklahoma Health Care Authority	MEDICAL ASSISTANCE PROGRAM	Pass through	93.778	8079004156	2,233,329	2,422,799
Oklahoma State Department of Health	MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT	Pass through	93.870	3409023010	23,880	
Oklahoma State Department of Health	MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT	Pass through	93.870	3409023222	605,695	486,038
Oklahoma State Department of Health	MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT	Pass through	93.870	3409024099	87,129	716,704
COVID – University of New Mexico HSC	HIV EMERGENCY RELIEF PROJECT GRANTS	Pass through	93.914	3RJA6		32,089
Oklahoma State Department of Health	HIV CARE FORMULA GRANTS	Pass through	93.917	3409022159	85,996	
Oklahoma State Department of Health	HIV CARE FORMULA GRANTS	Pass through	93.917	3409022107	1,112,461	1,198,457
COVID – HIV/AIDS Bureau	GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE	Direct	93.918	H7CHA37270	49,724	
HIV/AIDS Bureau	GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE	Direct	93.918	H76HA00185	751,067	800,791
Oklahoma Dept of Mental Health & Substance Abuse Services	BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE	Pass through	93.959	4529060195		100,919
COVID – Bureau of Health Workforce	PPHF GERIATRIC EDUCATION CENTERS	Direct	93.969	T1MHP39053	91,550	10,754
Bureau of Health Workforce	PPHF GERIATRIC EDUCATION CENTERS	Direct	93.969	U1QHP33082	670,082	761,632
Oklahoma Department of Human Services	MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES	Pass through	93.994	16000441	9,036	
Oklahoma Department of Human Services	MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES	Pass through	93.994	17000357	349,093	
Oklahoma Department of Human Services	MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES	Pass through	93.994	18000224	390,068	
Oklahoma Department of Human Services	MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES	Pass through	93.994	21000033	97,232	
Oklahoma State Department of Health	MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES	Pass through	93.994	3409021762	62,436	907,865
Total Department of Health and Human Services					20,557,390	1,258,294
Total Other Programs					25,150,421	1,258,294
Student Financial Assistance Cluster						
Department of Education						
Department of Education	FEDERAL DIRECT STUDENT LOANS	Direct	84.268		65,166,489	
University of Oklahoma – Norman (SEOG)	FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS	Pass through	84.007	17-18002	75,000	
University of Oklahoma – Norman (Pell)	FEDERAL PELL GRANT PROGRAM	Pass through	84.063	17-18001	885,362	
Total Department of Education					66,126,851	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma Health Sciences Center

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	CFDA	Award Number	Expenditure	Subrecipient Expenditures
Department of Health and Human Services						
Health Professions Nurse Faculty Loan – Advances	NURSE FACULTY LOAN PROGRAM	Direct	93.264		68,000	
June 30, 2020 Nurse Faculty Loan Program	NURSE FACULTY LOAN PROGRAM	Loan Balance	93.264		<u>9,864</u>	<u>77,864</u>
Health Professions Student Loan (HPSL & PCL) – Advances	HEALTH PROFESSIONS STUDENT LOANS	Direct	93.342		1,059,000	
June 30, 2020 HPSL & PCL Programs	HEALTH PROFESSIONS STUDENT LOANS	Loan Balance	93.342		<u>5,667,774</u>	<u>6,726,774</u>
Health Professions Undergraduate Nursing Student Loan –	NURSING STUDENT LOANS	Direct	93.364		549,170	
June 30, 2020 Nursing Student Loan Program	NURSING STUDENT LOANS	Loan Balance	93.364		<u>1,558,652</u>	<u>2,107,822</u>
Total Department of Health and Human Services						8,912,460
Total Student Financial Assistance Cluster						75,039,311
Total Federal Expenditures					\$ 174,619,926	\$ 12,713,563

The University of Oklahoma Health Sciences Center

Notes to Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Note 1: Basis of Presentation

The accompanying schedule of expenditures of federal awards (the Schedule) includes the federal award activity of The University of Oklahoma Health Sciences Center (the Center) under programs of the federal government for the year ended June 30, 2021. The information is presented in accordance with the requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the Center, it is not intended to and does not present the financial position, changes in financial position, or cash flows of The University of Oklahoma Health Sciences Center.

Note 2: Summary of Significant Accounting Policies

Expenditures reported in the Schedule are reported on the cash basis of accounting. Federal awards provided to subrecipients are treated as expenditures when paid to the subrecipient. When applicable, such expenditures are recognized following the cost principles contained in the Uniform Guidance or other federal regulations, wherein certain types of expenditures are not allowable or are limited as to reimbursement.

For purposes of the Schedule, federal awards have been identified into two types:

- Direct federal awards consisting of federal assistance and federal student financial aid, and
- Pass-through funds received from non-federal organizations made under federally sponsored programs conducted by those organizations.

Complete Catalog of Federal Domestic Assistance (CFDA) or Assistance Listing numbers are presented for those programs for which such numbers were available. CFDA prefixes are presented for programs for which a complete CFDA or Assistance Listing number is not available.

Federal direct programs are presented by federal department and, where applicable, the funding agency within the department. Federal pass-through programs are presented by the entity through which the University received the federal award. Amounts provided to subrecipients from each federal program have been separately identified for additional analysis. These pass-through awards are included in total cash basis expenditures.

The University of Oklahoma Norman Campus administers the Pell Grant program, Supplemental Education Opportunity Grants, College Work Study, and other grant student award programs for students attending both the Norman and Health Sciences Center campuses of the University. Grant revenues and expenditures under such programs for students attending the Center campus are considered pass-through funds and are, therefore, included in the Schedule.

Note 3: Indirect Cost Rate

The University has not elected to use the 10% de minimis cost rate.

The University of Oklahoma Health Sciences Center
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2021

Note 4: Student Loan Program

Federal Direct Student Loan Program

Under the Federal Direct Student Loan Program (Direct Loan Program), the U.S. Department of Education makes loans to enable a student or parent to pay the costs of the student's attendance at a postsecondary school. The Direct Loan Program enables an eligible student or parent to obtain a loan to pay for the student's cost of attendance directly from the U.S. Department of Education rather than through private lenders. The Center administers the origination and disbursement of the loans to eligible students or parents. The Center is not responsible for the collection of these loans.

Administered Loan Programs

The federal student loan programs listed subsequently are administered directly by the Center and balances and transactions relating to these programs are included in the Center's basic financial statements. Loans made during the year are included in the federal expenditures presented in the Schedule. The balance of loans outstanding at June 30, 2021, consists of:

Assistance Listing Number	Program Name	Outstanding Balance at June 30, 2021
93.264	Nurse Faculty Loan Program	\$ 77,864
93.342	Health Professions Student Loans	\$ 5,968,803
93.364	Nursing Student Loans	\$ 1,732,064

The University of Oklahoma Health Sciences Center

Agency Summary

Year Ended June 30, 2021

Research and Development

Department of Health and Human Services	\$ 71,747,273
Department of Defense	1,807,897
Department of Agriculture	244,106
Department of Education	309,402
Department of the Treasury	258,596
NASA	6,549
National Science Foundation	<u>56,371</u>

Total research and development	<u>74,430,194</u>
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Other

Department of Health and Human Services	20,557,390
Department of Agriculture	1,411,636
Department of Justice	961,015
Department of Education	<u>2,220,380</u>

Total other	<u>25,150,421</u>
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Student Financial Assistance

Department of Education	66,126,851
Department of Health and Human Services	<u>8,912,460</u>

Total student financial assistance	<u>75,039,311</u>
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Total federal program expenditures	<u><u>\$ 174,619,926</u></u>
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**Report on Internal Control over Financial Reporting and on
Compliance and Other Matters Based on an Audit of
Financial Statements Performed in Accordance with
Government Auditing Standards**

Independent Auditor's Report

Regents of the University of Oklahoma
The University of Oklahoma Health Sciences Center
Norman, Oklahoma

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of The University of Oklahoma Health Sciences Center (the Center), which comprise the statement of net position as of June 30, 2021, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 29, 2021. Our report contained emphasis of matter paragraphs regarding the reporting entity and a reference to other auditors who audited the prior year.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Center's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Center's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

BKD, LLP

Tulsa, Oklahoma
October 29, 2021

Report on Compliance for Each Major Federal Program and Report on Internal Control over Compliance

Independent Auditor's Report

Regents of the University of Oklahoma
The University of Oklahoma Health Sciences Center
Norman, Oklahoma

Report on Compliance for Each Major Federal Program

We have audited The University of Oklahoma Health Sciences Center's (the Center) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Center's major federal programs for the year ended June 30, 2021. The Center's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Center's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Center's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Center's compliance.

Opinion on Each Major Federal Program

In our opinion, the Center complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.

Report on Internal Control over Compliance

Management of the Center is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Center's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

BKD, LLP

Tulsa, Oklahoma
May 5, 2022

The University of Oklahoma Health Sciences Center
Schedule of Findings and Questioned Costs
Year Ended June 30, 2021

Summary of Auditor's Results

Financial Statements

1. The type of report the auditor issued on whether the financial statements audited were prepared in accordance with accounting principles generally accepted in the United States of America (GAAP) was:
☒ Unmodified ☐ Qualified ☐ Adverse ☐ Disclaimer
2. The independent auditor's report on internal control over financial reporting disclosed:
Significant deficiency(ies)? ☐ Yes ☒ None Reported
Material weakness(es)? ☐ Yes ☒ No
3. Noncompliance considered material to the financial statements was disclosed by the audit?
☐ Yes ☒ No

Federal Awards

4. The independent auditor's report on internal control over compliance for major federal awards programs disclosed:
Significant deficiency(ies)? ☐ Yes ☒ None Reported
Material weakness(es)? ☐ Yes ☒ No
5. The opinion expressed in the independent auditor's report on compliance for major federal awards programs was:
☒ Unmodified ☐ Qualified ☐ Adverse ☐ Disclaimer
6. The audit disclosed findings required to be reported by 2 CFR 200.516(a)?
☐ Yes ☒ No

The University of Oklahoma Health Sciences Center
Schedule of Findings and Questioned Costs, continued
Year Ended June 30, 2021

7. The Center's major programs were:

Cluster/Program	Assistance Listing Number
Student Financial Assistance Cluster	*
Medicaid Cluster	*
Substance Abuse and Mental Health Services – Projects of Regional and National Significance	93.243
COVID-19 – Provider Relief Fund	93.498
COVID-19 – Education Stabilization Fund Higher Education Emergency Relief Fund – Student Aid Portion	84.425E

* See detailed Schedule of Expenditures of Federal Awards for identification of Assistance Listing numbers applicable to major program cluster

8. The threshold used to distinguish between Type A and Type B programs was \$3,000,000.
9. The Center qualified as a low-risk auditee? ☒ Yes ☐ No

The University of Oklahoma Health Sciences Center
Schedule of Findings and Questioned Costs, continued
Year Ended June 30, 2021

Findings Required to be Reported by *Government Auditing Standards*

Reference Number	Finding
No matters are reportable.	

Findings Required to be Reported by the Uniform Guidance

Reference Number	Finding
No matters are reportable.	

The University of Oklahoma Health Sciences Center
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2021

Reference Number	Summary of Finding	Status
2020-001	Direct Program from U.S. Department of Education Student Financial Aid Cluster (CFDA Nos. 84.268, 84.063, 84.007) Special Tests: Enrollment Reporting Significant Deficiency in Internal Control over Compliance – Enrollment status changes were not reported in a timely and accurate manner to NSLDS.	Corrected